Integration of Genetic and Epigenetic Alterations in the Discovery of

Molecular Drivers of Malignancy in Glioma

By

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Preface

The sum of the work presented in this Ph.D. thesis has been executed by me in collaboration with internal and external investigators, who have been acknowledged appropriately in Chapters 2 and 3. My effort was critical in the planning, execution, analysis, and discussion as presented herein.

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CHAPTER 3

Abstract of Integration of Genetic and Epigenetic Alterations in the Discovery of Molecular Drivers of Malignancy in Glioma, by Ashley A. Smith, Ph.D. Brown University, May 2014

Gliomas are a family of extremely aggressive brain cancers, which, despite current treatment options, have poor prognoses. There are distinct subtypes of gliomas, and accurately identifying these is critical for diagnosis and management. Often, the pathologic diagnosis of these subtypes is difficult, and research is underway to discover novel biomarkers that aid in accurate subtype identification and prognostication. This thesis focuses on the joint analysis of DNA methylation profiles with somatic mutation and gene expression data in glioma, assessing the nature of their association with each other and, subsequently, with histology and disease outcome. The ultimate goal is to develop potential prognostic biomarkers of the disease.

DNA methylation was determined for several different grades and histologies of glioma in addition to non-brain-tumor controls. The same samples were sequenced for *IDH1/2* mutations. We, and others, discovered an *IDH* hypermethylator phenotype, showing a tight association between the occurrence of *IDH* mutation and hypermethylation. This phenotype had a higher prevalence in low-grade and secondary gliomas. Besides mutation, DNA methylation is also associated with other somatic alterations, which can alter gene expression. To better understand how DNA methylation and gene expression drive glioma, we used an integrative bioinformatics approach; our goal was to investigate DNA methylation that modulates gene expression as well as

independent DNA methylation (methylation that may exert its phenotypic effects through alternative mechanisms), assessing the nature of their association with disease survival. Our model supports the existing theory that DNA methylation can work through gene expression to influence survival outcome but also suggests that DNA methylation can work alone or through alternative mechanisms to influence glioma outcome. In addition, our approach offers an alternative method of biomarker discovery, which could potentially be used for diagnostic and therapeutic purposes. Overall, this work supports the hypothesis that somatic mutations are not solely responsible for the glioma phenotype. Epigenetics, particularly DNA methylation, is also important in both the genesis and outcome of the disease. Furthermore, our model provides an alternative approach for biomarker discovery that may also be applicable to cancers other than glioma.

Chapter 1

Thesis Overview and Introduction

Thesis Overview

Gliomas are a family of extremely aggressive brain cancers, which, despite currently available treatments, have poor prognoses, with high-grade glioblastoma multiforme (GBM) having a median survival time of 15 months. There exist many individual subtypes of glioma, which are both histologically and molecularly distinct, and accurately identifying these subtypes is critical for diagnosis, prognosis, and treatment. Often, the pathologic diagnosis of these subtypes can be difficult, and research is underway to define novel biomarkers of the disease that can assist in accurate subtype identification. There is an array of somatic alterations that can contribute to tumorigenesis, although it is now recognized that genetic alterations alone cannot explain the phenotypes of all human tumors. Currently, increasing attention is being focused on the potential for epigenetic alterations to drive these tumors. The integration of both epigenetic and genetic alterations is critical to more fully understand tumorigenesis. Using an integrated approach could be particularly valuable for studying cancers with poorly understood etiologies as well as for largely incurable cancers, such as glioma. The aims of this thesis were to focus upon the joint analysis of DNA methylation profiles with mutation and expression data in glioma, assessing their associations with histology and outcome, and evaluating their potential utility as biomarkers of the disease.

This thesis begins with a broad introduction to glioma and its histological subtypes, as well as the biology of DNA methylation alterations, gene expression changes, and mutations associated with these phenotypes. Chapter 2 provides details on the

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integration of glioma DNA methylation and *IDH* mutation, resulting in the discovery of an IDH-driven hypermethylator phenotype that is associated with the survival outcome of specific glioma subtypes. Chapter 3 describes the results of a two-part bioinformaticsbased analysis integrating DNA methylation and gene expression. The first part focuses on methylation-mediated changes in gene expression, which result in differential glioma survival, and the second focuses on DNA methylation mediating survival directly or through mechanisms other than direct changes in gene expression. Additionally, this analysis highlights potential biomarkers of the disease. Finally, Chapter 4 summarizes the conclusions of the previous chapters, discussing the importance of this work and provides potential future directions for this research.

Glioma: presentation, diagnosis, and treatment

Gliomas are malignant brain tumors thought to arise from glial cells or their precursors $¹$ and account for almost 80% of all primary malignant brain tumors². Clinical</sup> presentation of the disease includes headaches, seizures, focal neurologic deficits, confusion, memory loss, and personality changes³. However, many patients, particularly with low-grade glioma, remain asymptomatic⁴. Patients suspected of having glioma undergo imaging for initial lesion conformation and grading³. Though magnetic resonance imaging (MRI) is the gold standard for investigation of suspected glioma, confirmatory diagnosis is still based on stereotactic biopsies^{4,5}. New imagery methods such as diffusion and perfusion-weighted imaging, proton MR spectroscopy, and

susceptibility-weighted imaging provide even more insight into tumor grade and can influence therapeutic decisions⁵.

Upon glioma conformation, a stereotactic biopsy is taken, or if placement is conducive to surgery, tumors are resected and biopsied, with the ladder method being preferable for better histological diagnosis, reduction of symptoms from mass effect, and increased efficacy of therapies^{6,7}. Biopsies are classified based on guidelines set forth by the World Health Organization (WHO), which divides gliomas into several different subtypes and grades¹. Subtypes are graded using a I-IV numerical grading system where higher numbers are associated with increased malignancy. Numerical grade is based on the presence or absence of several characteristics, including mitosis, necrosis, nuclear atypia, and endothelial cell proliferation. In addition, tumors are divided into several histological types based on their morphology and predominate cell type. The major histological types include astrocytomas, oligodendrogliomas, mixed oligoastrocytomas, and ependymomas¹. Several subtypes can be found within each major type of glioma.

The most common subtypes of astrocytic tumors include diffuse and pylocitic astrocytomas. Diffuse astrocytomas (predominately of astrocytic origin), account for almost 80% of adult gliomas and are most frequently found in the cerebral hemispheres^{1,8}. Diffuse astrocytomas (well-differentiated, anaplastic, and glioblastoma) range from grade II-IV respectively, with glioblastoma multiforme (GBM) being the most malignant of all gliomas. Pilocytic astrocytomas are generally a benign tumor with a WHO grade of I and usually arise in the cerebellum. The second major glioma type, oligodendroglioma (predominantly oligodendrocytic in origin), accounts for 5-15% of gliomas and is usually found in the cerebral hemispheres, specifically the frontal or temporal lobes.

Oligodendrogliomas are further divided into well-differentiated (grade II) and anaplastic (grade III)^{1,8}. In addition, mixed oligoastrocytomas consist of a mix of both astrocytes and oligodendrocytes with both well-differentiated (grade II) and anaplastic (grade III) histologies¹. Finally, in adults, ependymomas (predominantly of ependymal origin) are most commonly found in the spinal cord⁸. Ependymal tumors consist of 4 different subtypes subependymoma, myxopapillary, well-differentiated, and anaplastic, ranging from grade I-III¹. Due to the heterogeneity of each of the individual subtypes and varying locations of each, glioma management and treatment can vary accordingly.

The general treatment scheme for glioma consists of resection (if applicable), radiation, and/or chemotherapy^{4,9}. Due to the location and infiltrative nature of gliomas, many cannot be resected completely or remain inoperable, and tumor resection is closely associated with patient survival ⁹. However, advances in surgical techniques have enhanced the ability of surgeons to preform more complete glioma resection 10 . Preoperative techniques such as MRI can work together with intraoperative techniques such as neuronavigation to aid in determining the borders of the brain lesion¹⁰. This technique is particularly helpful in locating small deep-seated lesions with an accuracy of about 2 mm¹¹. Fluorescence-guided resection is another intraoperative imaging technique where fluorescence is used to contrast normal vs. tumor tissue, allowing for more accurate and complete resection¹⁰. Techniques such as functional MRI (fMRI) aid in the visualization of active parts of the brain and can be beneficial in obtaining a gross impression of the lesion preoperatively¹⁰. Additional techniques include CT, 3D planning, fiber tracking, and transcranial magnetic stimulation¹⁰. If the nature or placement of the tumor does not allow for resection, then a stereotactic biopsy is taken for diagnostic

purposes³. Immediately after surgery/biopsy, the main course of treatment is radiotherapy³. Radiotherapy is used for both low- (WHO grade II) and high-grade (WHO grade III, IV) gliomas, typically at a maximum dose of 60 Gy, as higher doses have not been associated with improved outcome and can lead to increased toxicity^{4,9}. In addition to radiotherapy, chemotherapy may be used, mostly for high-grade tumors^{3,9}, as it is controversial whether chemotherapy should be offered to low-grade glioma patients before treatment with radiotherapy⁴. Concomitant and adjuvant temozolomide (TMZ) is the most commonly used chemotherapeutic drug for glioma treatment with advantages including oral dosing, ability to cross the blood brain barrier (BBB), preferable toxicity profile compared to other drugs, increased effectiveness, and improved quality-of-life $6,12$. Other chemotherapeutics include carmustine wafers (Gliadel) and PCV (combination of Procarbazine, CCNU, and Vincristine)^{3,4,9}. Depending on the tumor grade and type and patient age, a combination of both radiotherapy and chemotherapy is often used $3,4,9$. Additionally, increased knowledge of the pathogenesis of glioma has spurred discussion and trials for targeted molecular-based¹³, epigenetic-based¹⁴ and antiangiogenic-based^{12,15} therapies.

Unfortunately, the initial brain lesion is not the only concern for treatment. Another major issue with glioma patients is the management of comorbidities associated with the primary tumor. These conditions include seizures, peritumoral edema, venous thromboembolism, cognitive dysfunction, and fatigue¹⁶. Seizures are a common symptom of glioma, with approximately 20-62% of patients experiencing tumor-related epilepsy during the course of their disease¹⁶. General treatment for seizures includes a variety of antiepileptic drugs. Unfortunately, antiepileptic drugs can have unwanted interactions

with other glioma-related treatments including induction of the cytochrome P-450 system (as seen with the drug phenytoin), which increases the metabolism of many chemotherapeutic agents. For this reason, antiepileptic drugs that do not induce these enzymes (such as clonazepam) are preferred 16 . Edema is another side effect of the tumor and if not controlled can lead to serious complications and morbidity. Excess fluid buildup is caused by a disruption in the blood-brain barrier, allowing fluid into the extracellular space of the brain parenchyma. Corticosteroids are usually used to manage peritumoral edema by decreasing endothelial permeability. Unfortunately, there are several complications associated with corticosteroids, including gastrointestinal problems, steroid myopathy, and osteoporosis. Using lower doses can reduce side effects, and most subside after treatment has stopped. Venous thromboembolism (VTE) is another complication experienced by glioma patients and can be treated mechanically using elastic compression stockings as well as with anticoagulation therapies such as low molecular weight heparins. Lastly, disruption in cognitive functions and increased fatigue, though not necessarily associated with morbidity, can significantly reduce quality of life in glioma patients. Medications such as methylphenidate have been shown to improve neurobehavioral functioning, reducing fatigue and depression, while increasing cognition¹⁶. Finally recurrence of the primary tumor is often seen. Recurrence of lowgrade glioma has been associated with increased malignancy due to transformation¹⁷. However, recurrence is more frequent in higher-grade tumors with a median time-totumor progression of ~ 6.9 months¹⁸. Unfortunately, treatment options for recurrent gliomas are limited due to difficulty of resection and drug resistance¹⁹.

Glioma: epidemiology, risk, and survival

During the years 2005-2009 the incidence (age adjusted) of primary brain and central nervous system (CNS) tumors in the United States was approximately 20.6 per 100,000 people, with the average incidence of malignant tumors in adults (20+ years of age) ranging from 5.80-11.70 per 100,000 people². Of these, gliomas accounted for 29% of all adult tumors and approximately 80% of all adult malignant tumors, with an incidence rate of 6.03 per 100,000 people. GBM and astrocytomas accounted for approximately 76% of all gliomas, with GBM having the highest incidence rate among malignant tumors. Gliomas are most commonly found in patients between the $4th$ and $6th$ decades of life, with lower grades often found at the younger end of the age range^{4,7}. In addition, malignant glioma incidence is statistically significantly higher in males than in females and in caucasians compared to blacks².

There are few risk factors associated with glioma, with environmental/behavioral risk factors being the most attractive to study, since they are modifiable 20,21 . Of these, ionizing radiation is the only known environmental risk factor. However, it has been suggested that non-ionizing radiation could be associated with gliomagenesis. Specifically mentioned is the use of cell phones, which emit low-radiofrequency in close proximity to the head and brain. Though it is possible cell phone use could cause an increase in glioma risk, no substantial evidence for this has been provided²¹. Allergies and immunologic changes; specifically, reduced immunoglobulin E (IgE) have been inversely associated with glioma risk²². Genetic risk factors involved in gliomagenesis include single nucleotide polymorphisms (SNPs), which affect detoxification, DNA repair, and cell cycle regulation ³.

Low-grade pilocytic astrocytomas and ependymal tumors have the best prognosis, with an approximate 5-10 year survival rate of 91.4% and 77.6% respectively. Grade II oligodendrogliomas or astrocytomas have a survival range of $5\text{-}10$ years 3 and; generally, anaplastic oligodendrogliomas (3-5 years) have a better prognosis than anaplastic astrocytomas $(2-3 \text{ years})^3$. The poorest survival among gliomas is associated with GBM, where median survival is only 12-15 months⁸, with a 5-year survival of only 4.7%². However, recent literature has reported on the molecular complexity of these tumors in the hopes of improving survival with better diagnosis and more targeted treatments.

Glioma: genetics

The variability in the etiology, progression, and histologies of gliomas is in part due to their genetic heterogeneity, which includes somatic mutations, deletion/amplifications, copy number variation (CNV) and insertion of repetitive elements. Somatic mutations, particularly in tumor suppressor genes, were some of the first implicated in gliomagenesis. Over 65% of gliomas, predominantly low-grade and secondary GBMs, contain mutated *TP53*3,13,23 . Mutations in the *RB1* tumor suppressor gene are observed mainly in high-grade gliomas. Additionally, p53 and RB pathways may be affected by mutations/amplifications in *MDM1/2/4/* and *CDKN2A/b* (*INK4A* and *ARF*), as well as *CDK4/6*13,23,24. Dysregulation of many tyrosine kinase-signaling pathways is also present in malignant glioma. For instance, *PDGFR* overexpression/amplification is ubiquitous among malignant gliomas, and *EGFR* amplification/overexpression/mutation has become a marker of high-grade glioma and

primary GBM, both of which can cause oncogenic dysregulation of PI3K-AKT-mTOR and Ras-MAPK signaling pathways^{13,23}. Also associated with these pathways are mutation/deletion of *PTEN,* which is the primary negative regulator of the PI3K-AKTmTOR signaling pathway, and mutations in *NF1*, which is the primary negative regulator of the Ras-MAPK pathway^{23,24}. Loss of heterozygosity (LOH) of 1p19q is the most prevalent loss among oligodendrogliomas and a predictor of better prognosis 25 . Most recently implicated in glioma are alterations in isocitrate dehydrogenase 1/2(*IDH1/2*) ²⁶ and telomerase reverse transcriptase $(TERT)^{27}$. The metabolic enzyme IDH1/2 is mutated at high prevalence in low-grade gliomas and secondary $GBMs^{26}$. Interestingly, patients with *IDH1* mutations tend to be younger and have a better survival outcome^{26,28}. Novel mutations in the promoter region of *TERT* have also been discovered 27 ; they appear to be mutually exclusive with *IDH1* mutations and demonstrate poorer outcome^{29,30}. Additionally, mutations in *ATRX* (α thalassemia/mental retardation syndrome X-linked) have been observed in GBMs wild-type (WT) for TERT³¹. ATRX is involved in chromatin remodeling that is active in telomere biology³¹. Both mutations in *TERT* and $ATRX$ suggest the importance of telomerase activation in the development of glioma²⁹. There are several recurrent translocations reported in glioma, including the in-frame gene fusion of fibroblast growth factor receptor1/3 (*FGFR1/3*) and transforming acidic coiledcoil (*TACC*) to form $FGFR1/3-TACC3^{32}$ and $EGFR$ fusions with septin 14 (*SEPT14*) 33 . The ladder aids in activation of the STAT3 pathway, whose dysregulation has been associated with glioma infiltration and growth³⁴. Finally, genetic risk factors are also involved in glioma etiology. Extensive genome-wide association studies (GWAS) and candidate-gene studies have found associations between glioma risk and singlenucleotide polymorphisms $(SNPs)$ ³⁵. Of these, GWAS studies are the most consistently replicated, revealing 8 SNPs/near 7 different genes that are significantly associated with glioma risk: *TERT, EGFR, CCDC26, CDKN2A, PHLDB1, RTEL1,* and *TP53 35-39 .*

Integration of these genetic events has allowed for increased understanding of the pathogenesis of glioma and yielded distinct genetic profiles that aid in distinguishing different subtypes for better diagnosis and treatment. Efforts put forth by Godard et al, and Nutt et al have demonstrated that gliomas can be classified based on differential gene α expression^{40,41}, and expression-based classes correlated better with survival than histological outcome⁴¹. Further investigation revealed that gene expression profiles could be used to further distinguish classes within individual subtypes and aided in the discovery of prognostic markers such as *FABP7,* whose increased expression is associated with poorer outcome in $GBM⁴²$. Further studies used gene-expression signatures to classify gliomas based on their resemblance to different stages of neurogenesis, resulting in three subclasses: proneural, proliferative, and mesenchymal⁴³. These classes were further supported and refined by integrating gene-expression with copy number, and mutation data^{24,44}. The integration with other genetic events resulted in the aforementioned proneural and mesenchymal classes with the addition of classical and neuronal classes^{24,43,44}. Proneural classes are strongly associated with high levels of *TP53* mutation, *PDGF* amplification/mutation, *IDH1*/2 mutation, younger age, and have a trend toward increased survival. The mesenchymal subtype is defined by high expression of *CHI3L1*, *MET*, and *NF1* deletion/mutation. High levels of EGFR amplification/mutation define the classical subtype, and there is a clear difference in response to treatment observed between classical and mesenchymal subtypes^{[21](#page-35-0)[,35](#page-37-0)[,36,2](#page-37-1)3}. Finally, tumors of the

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neural subtype appear to be the most molecularly similar to normal brain, this group also contains the oldest patients 44 .

Though genetic-based classes have aided in both prognosis and therapeutic intervention, it has become increasingly apparent that genetics alone cannot explain the phenotype of this complex disease, highlighting the need for studies focusing on not only the genome, but also the epigenome.

Glioma: epigenetics

An epigenetic trait is defined by a heritable, stable change in expression and/or cellular phenotype that does not result from change to the DNA sequence^{45,46}. Epigenetic regulators include histone modifications⁴⁷, microRNA^{48,49}, and DNA methylation⁵⁰⁻⁵², and are critical in normal development contributing to the vast array of cellular phenotypes⁵²⁻⁵⁴. However, dysregulation of these regulators has been associated with the etiology of many human diseases⁵⁵. Due to its assay accessibility, DNA methylation has been one of the most widely studied epigenetic events⁵⁶⁻⁵⁹.

DNA methylation occurs on cytosines found 5' to guanines in the DNA sequence (CpG dinucleotides)⁵². Maintenance/deposition of methylation is controlled mainly by three DNA methyltransferases (DNMT1, 3A, 3B) using S-adenosyl methionine as the methyl donor^{53,60}. In mammals, approximately 60-80% of CpGs are methylated⁵³. CpG dinucleotides are under-represented in the genome, however, they have been found at higher than expected quantities in gene promoter regions⁶¹, and clusters of them are referred to as CpG islands⁶². The placement of CpG islands in promoter regions of genes

allows for epigenetic regulation of transcriptional activity through structural changes in associated chromatin^{53,55,60}. For instance, methylation of a CpG island in the promoter region of a gene can work together with histone modifications causing chromatin condensation and inhibition of transcriptional activity, essentially silencing expression of the gene. CpG shores (CpGs that lie ~2kb away from CpG Islands) have also been implicated in transcriptional activity as well as cell programing^{63,64}. Furthermore, patterns of DNA methylation can be used to distinguish individual cell types/mixtures and tissues^{52,65-67}, including different regions of non-diseased brain⁶⁸. DNA methylation is important in many normal processes besides transcriptional regulation and cell programming, including genomic imprinting, silencing of aberrant repetitive elements, and regulation of transcriptional enhancers and splice site variants⁵². Disruption of normal DNA methylation events can cause dysregulation of these processes, which has been associated with adverse health affects including diseases such as cancer ⁵⁵.

One of the first epigenetic changes implicated in human cancer was a general loss of methylation in tumors compared with normal tissue^{69,70}. Hypomethylation is primarily associated with aberrant expression of repetitive elements but can also lead to loss of imprinting and activation of oncogenes^{69,71,72}. Furthermore, hypomethylation can promote deletions and translocations by favoring mitotic recombination⁷³. Overall, hypomethylation is associated with genomic instability, which can aid in tumor progression^{71,72}. Gene-specific hypermethylation is also observed in cancer and is associated with transcriptional inactivation⁷²⁻⁷⁵. Most ubiquitously observed in carcinogenesis is methylation-induced silencing of tumor suppressor genes, which can aid in tumorigenesis by altering many cancer-related pathways⁷⁴. Patterns of methylation

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can also be important prognostic and diagnostic tools in cancer. Differentially methylated regions (DMRs) are regions of the genome demonstrating variable methylation and can be used not only to distinguish different cell and tissue types; but also to aid in distinguishing normal and tumor tissue as well as individual cancer subtypes^{$64,76$}. Genes with differential DNA methylation have become ideal candidates for biomarker selection for both the diagnosis and prognostication of disease while simultaneously highlighting potential therapeutic targets⁷⁷. Another reason DNA methylation is so attractive to study is because, unlike genetic alterations, epigenetic alterations are potentially reversible. The reversibility of DNA methylation has been harnessed for therapeutic reasons in myelodysplastic syndromes and myelogenous leukemia, for which the Food and Drug Administration has already approved the use of drugs which prevent re-methylation (i.e. 5-azacytidine and 5-aza-2'-deoxicytidine)⁷⁸⁻⁸⁰.

Significant advances in the field of epigenetics have led to the discovery of several epigenetically altered genes/pathways in glioma. Genome-wide hypomethylation is seen in approximately 80% of GBMs, and this loss of methylation is correlated with increased proliferation and aberrant transcriptional activity⁸¹. The promoter region of putative oncogene *MAGEA1* is hypomethylated in GBM and is associated with increased expression of this cancer-testis antigen $81,82$. Increased activation of MAGE proteins have been implicated in multiple cancers and are associated with T-cell recognition, p53 inhibition, and response to chemotherapy $81,82$. More commonly seen in glioma is locusspecific hypermethylation^{81,83,84}. Promoter hypermethylation has been observed in many cancer-related gene pathways, including DNA repair, cell cycle progression, apoptosis, angiogenesis, and cell growth⁸⁵⁻⁸⁹. Disruption of any of these pathways can ultimately

lead to variable effects on survival. One example of this phenomenon is the epigenetic silencing of the DNA repair gene *MGMT*, which has become a strong predictor of glioma outcome and response to treatment^{90,91}. MGMT normally functions by removing aberrant alkyl groups from the O^6 position of guanine^{90,91}. In cancer treatment, *MGMT* expression can decrease the therapeutic efficacy of radiation and alkylating agents such as temozolomide by repairing therapy-induced damage to the tumor cells. DNA gene promoter methylation silencing of *MGMT* is, then, associated with significantly better survival following chemotherapeutic treatments^{90,91}. Promoter methylation of *SOCS3* has been implicated in secondary and low-grade gliomagenesis via the STAT3 and MAPKpathways^{92,93}. Methylation of *SOCS3* is significantly associated with poorer survival outcome^{92,93}. These examples demonstrate the impact that the epigenome can have on tumorigenesis as well as its importance for diagnosis and survival outcome and as a biomarker of the disease.

Glioma: Integration of genetics and epigenetics

The genetic landscape of glioma is fairly well studied; however, its relationship with the glioma epigenome is poorly understood. Previous literature suggests that complex somatic alterations are involved in gliomagenesis that aid not only in distinguishing glioma from other diseases but also in distinguishing different glioma subtypes. These alterations include both genetic events, such as amplifications/deletions and mutations, as well as epigenetic events such as hyper- and hypo-methylation, all of which can dysregulate cancer-related signaling pathways promoting tumorigenesis and

modulating outcome. The importance of analyses integrating the cancer genome and epigenome has been observed with the identification of a CpG island methylator phenotype in colorectal cancer⁹⁴⁻⁹⁶. The integration of both methylation profiles and mutation data demonstrated distinct classes of colorectal cancer, with CIMP-high tumors showing extensive promoter methylation and mutations in the *BRAF* oncogene⁹⁵. In contrast, a CIMP-low phenotype is associated with promoter methylation of a more limited set of genes, particularly age-related genes, and is also associated with mutation in the *KRAS* oncogene⁹⁵. CIMP-negative tumors display rare methylation as well as *TP53* mutation. The prognosis associated with these subgroups also varies, with CIMP-high tumors having the best outcome⁹⁶. In glioma, the link between promoter methylation and gene expression has been established on a single-locus level. However, large-scale integration approaches of methylation patterns and genetic alterations in glioma have not been attempted to date.

Conclusion

This thesis aims to carefully assess the epidemiology of DNA methylation in glioma. Novel high-throughput DNA methylation arrays (Illumina), which interrogate approximately 1,500 cancer-related CpG loci, were used to identify the epigenetic determinants of methylation in glioma and how they associate with genetic alterations such as mutations. The initial results suggested the correlation of a hypermethylator phenotype and *IDH1* mutations with tumor histology and increased prognosis. To further demonstrate the importance of integrative analysis in gliomagenesis and improved

prognosis, data obtained from The Cancer Genome Atlas (TCGA) were used to determine the joint effect of DNA methylation and gene expression on survival outcome in glioma using a novel bioinformatics-based approach.

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Chapter 2

DNA Methylation, Isocitrate Dehydrogenase Mutation, and Survival in Glioma

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Context and Caveats

Prior knowledge

Human gliomas often have mutations in the isocitrate dehydrogenase genes (*IDH1* and *IDH2*). *IDH* mutation is associated with improved survival in glioma patients. Epigenetic alterations like DNA methylation at CpG dinucleotides play an important role in gene regulation. Integration of genetic and epigenetic data is important for a better understanding of glioma development.

Study design

DNA methylation profile of CpG loci and methylation class of 131 glioma and seven non-glioma brain tissues were determined. The association between *IDH* mutation and methylation class was analyzed. Survival analysis of patients carrying *IDH* mutation vs. wild-type *IDH* was also performed.

Contribution

CpG loci showed differential methylation between glioma and non-glioma tissues. Statistically significant associations were found between DNA methylation class and histologic subtypes, and between DNA methylation class and *IDH* mutation of gliomas. Patients carrying *IDH* mutation in gliomas showed improved survival compared with patients carrying *IDH* wild-type after adjustment for age and grade-specific tumor histology.

Implications

A distinct methylation pattern in glioma tissues is associated with *IDH* mutation.

Limitations

Mutation data was not available for all tissue samples, which may have limited the statistical power of the analyses.

Abstract

Background: Although much is known about molecular and chromosomal characteristics that distinguish glioma histologic subtypes, DNA methylation patterns of gliomas and their association with other tumor features such as mutation of isocitrate dehydrogenase (*IDH*) genes, has only recently begun to be investigated.

Methods: DNA methylation of glioblastomas, astrocytomas, oligodendrogliomas, oligoastrocytomas, ependymomas, and pilocytic astrocytomas $(n = 131)$ from the Brain Tumor Research Center at the University of California San Francisco, as well as nontumor brain tissues ($n = 7$), was assessed with the Illumina GoldenGate methylation array. Methylation data were subjected to recursively partitioned mixture modeling (RPMM) to derive methylation classes. Differential DNA methylation between tumor and non-tumor was also assessed. The association between methylation class and *IDH* mutation (*IDH1* and *IDH2* isoforms) was tested using univariate and multivariable analysis for tumors with available substrate for sequencing $(n = 95)$. Survival of glioma patients carrying mutant *IDH* ($n = 56$) was compared with patients carrying wild-type *IDH* (n = 39) by using a multivariable Cox proportional hazards model and Kaplan-Meier analysis. All statistical tests were two-sided.

Results: We observed a statistically significant association between RPMM methylation class and glioma histologic subtype ($P < 2.2 \times 10^{-16}$). Compared with non-tumor brain tissues, across glioma tumor histologic subtypes, the differential methylation ratios of CpG loci were statistically significantly different (Permutation $P < .0001$). Methylation class was strongly associated with *IDH* mutation in gliomas ($P = 3.0 \times 10^{-16}$). Compared with glioma patients whose tumors harbored wild-type *IDH*, patients whose tumors

harbored mutant *IDH* showed statistically significantly improved survival (HR of death = 0.27, 95% confidence interval [CI] = 0.10 to 0.72).

Conclusion: The homogeneity of methylation classes for gliomas with *IDH* mutation, despite their histologic diversity, suggests that *IDH* mutation is associated with a distinct DNA methylation phenotype and an altered metabolic profile in glioma.

Introduction

Malignant glioma is the most common form of primary malignant brain tumor and the glioma histologic subtypes include glioblastomas, grades 2 and 3 astrocytomas, grades 2 and 3 oligodendrogliomas, grades 2 and 3 oligoastrocytomas, ependymomas, and pilocytic astrocytomas (1). Presently, there are limited treatment options for glioma; glioblastoma, the most common glioma subtype, remains an incurable disease with a median survival of 15 months, even with radiation and temozolomide therapy (2).

A comprehensive appreciation of the integrated genomics and epigenomics of glioma is needed to better understand the multiple cellular pathways involved in their development, establish markers of resistance to traditional therapies, and contribute to the development of targeted therapies. Epigenetic alterations can alter gene expression, gene expression potential, or the regulation of gene function, and thereby contribute to gliomagenesis. Arguably, the most widely studied epigenetic mark is DNA methylation that occurs at cytosine residues in the context of CpG dinucleotides. Approximately half of human genes have concentrations of CpGs in their promoter regions and the methylation state of these and other gene-associated CpGs are widely regarded as critical indicators of gene regulation.

Since 2008, sequencing of gliomas has identified mutations in the isocitrate dehydrogenase 1 and 2 (*IDH1* and *IDH2*) genes (3-5). The IDH1 and IDH2 enzymes convert isocitrate to alpha (α)–ketoglutarate producing NADPH and participate in cellular metabolic processes such as glucose sensing, lipid metabolism, and oxidative respiration (reviewed in [6]). Mutations in *IDH1* are consistently found in codon 132 for arginine (R132), and mutations in *IDH2* consistently occur at the analogous amino acid R172 (3,

7). Mutations in *IDH1* and *IDH2* (*IDH* when referring to both) are unlike most cancerassociated enzyme mutations because they confer neomorphic enzyme activity rather than inactivating, or constitutively activating, the enzyme. The mutant form of IDH enzymes convert α -ketoglutarate to 2-hydroxyglutarate in an NADPH-dependent manner, and via an unknown mechanism contribute to the pathophysiology of gliomas and leukemias (5, 7, 8). *IDH* mutations occur in approximately 80% of grades 2-3 gliomas and secondary glioblastomas, but less than 10% of primary glioblastomas (4, 5). In gliomas, *IDH* mutation has been associated with genetic alterations in other genes including the tumor suppressors and oncogenes (5). *IDH* mutation also has been associated with younger age and improved survival in glioma patients (5, 9).

The somatic genetic signature of any individual tumor is critical to assessing its clinical and etiologic character. Similarly, the profile of somatic epigenetic alterations is central to forming a complete understanding of the pattern of disrupted cellular functioning responsible for the deadly behavior of gliomas. Major advances in the clinical role of epigenetics in gliomas include the findings that promoter methylation silencing of the O-6-methylguanine-DNA methyltransferase (*MGMT*) gene is associated with response to temozolomide treatment (10). Epigenetic silencing of *MGMT* gene is found in approximately 80% of gliomas with mutant *IDH1,* compared with approximately 60% of gliomas with wild-type *IDH1* (9). Other common alterations in gliomas are mutations in tumor protein p53 (*TP53*) (11) and amplification of the epidermal growth factor receptor (*EGFR*) oncogene (12). Better definitions of the somatic nature of gliomas should integrate both their genetic and epigenetic alterations. In this study, we assessed CpG methylation patterns, *IDH* mutation, *TP53* mutation, and

EGFR amplification in histologically diverse gliomas to define epigenetic subgroups of potential clinical and etiologic relevance.

Patients, Materials, and Methods

Patients and Tissue Samples

Fresh frozen tumor tissues of patients ($n = 131$) diagnosed with glioma between 1990 and 2003 were obtained from the University of California San Francisco (UCSF) Brain Tumor Research Center Tissue Bank. Tumors were previously reviewed by UCSF neuropathologists to assign histologic subtypes and grades according to the World Health Organization classification for patients operated on at the UCSF Medical Center (1). Tumor samples were defined as secondary glioblastoma if the patients had previous histological diagnosis of a lower-grade glioma. Non-tumor brain tissue samples were obtained from cancer-free patients $(n = 7)$ who underwent temporal lobe resection for treatment of epilepsy at the UCSF Medical Center. Patient ages were documented at the time of initial diagnosis. Other demographic and survival data were obtained from UCSF patient records and the California Cancer Registry. The Institutional Review Board approval certification was obtained from the UCSF Committee on Human Research, and subjects provided written, informed consent for tissue collection.

Cell lines, Cell Culture, and Reagents

A431 cells (a human epidermoid cancer cell line that is known to have *EGFR* amplification and overexpression) and HT29 cells (a human colon adenocarcinoma cell line without *EGFR* amplification) were obtained from American Type Culture Collection

(ATCC, **Manassas, VA**). Cell lines were maintained in Dulbecco's Modified Eagle's Medium and RPMI 1640 medium (both from Invitrogen, Carlsbad, CA), respectively, with 10% fetal bovine serum (Hyclone, Logan UT), at 37° C in 5% CO₂. When cultures reached 80% confluency, cells were harvested for DNA extraction.

DNA Extraction, Bisulfite Modification, and Methylation Analysis

Genomic DNA from 131 glioma tissue samples and seven non-tumor brain tissue samples was isolated from approximately 25 mg wet weight of each frozen tissue sample using QIAamp DNA mini kit (Qiagen Inc., Valencia, CA), according to the manufacturer's instructions. DNA was eluted twice in a total of 100 µl of elution buffer. The same DNA extraction method was applied to A431 and HT29 cell lines that served as *EGFR* amplification controls.

For DNA methylation analysis, 1μ g of genomic DNA was first subjected to bisulfite modification using the EZ DNA Methylation Kit (Zymo Research Corporation, Orange, CA), according to the manufacturer's instructions. Bisulfite modification converts unmethylated cytosine residues to uracil and preserves methylated cytosine residues as cytosines.

GoldenGate DNA methylation bead arrays (Illumina Inc., San Diego, CA) were used to interrogate methylation of 1505 CpG loci associated with 803 cancer-related genes, according to the manufacturer's instructions. GoldenGate methylation arrays were used to analyze bisulfite-modified DNA from 131 glioma and 7 non-tumor samples for methylation, and processed at the UCSF Institute for Human Genetics, Genomics Core Facility. The GoldenGate array methylation data were deposited in the Gene Expression Omnibus and are publicly available (accession GSE20395). The Cancer Genome Atlas

(TCGA), a public data portal, was used to obtain GoldenGate methylation array data for validation of methylation classes. Quantitative methylation-specific polymerase chain reaction (PCR) (QMSP) was used to confirm methylation data from the GoldenGate array. Candidate genes were selected based on previous studies (13-16) that reported aberrant methylation in astrocytic glioma and included *MGMT,* Ras association domain family member 1 (*RASSF1*)*,* PYD and CARD domain containing (*PYCARD*)*,* homeobox A9 (*HOXA9*)*,* paternally expressed 3 (*PEG3*)*,* and slit homolog 2 (*SLIT2*). CpGenome Universal Methylated DNA (Millipore, Billerica, MA) was bisulfite modified and used as a positive control for QMSP analysis. QMSP was performed using Applied Biosystems 7900HT Fast Real-Time PCR System (Applied Biosystems, Carlsbad, CA). The reaction plate was prepared using the Beckman Coulter automated liquid handler-Biomex 3000 (Beckman Coulter, Fullerton, CA). Each reaction contained 10.0 μ L 2× Power SYBR Green PCR Master Mix (Applied Biosystems), 100-400 nM of forward and reverse primers (Supplementary Table 1, available online) and 25 ng of DNA template in a total reaction volume of 20 µL. For the amplification of *RASSF1*, 2–3% dimethyl sulfoxide (DMSO) was added to the reaction mix. PCR conditions are modified by different primer concentrations and DMSO was added to ensure that primer dimers and non-specific amplification products were not included in the threshold cycle (Ct) calculation. To confirm specificity of amplicons from QMSP, we performed dissociation curve analysis. The PCR conditions were: 95°C for 10 minutes, and 40 cycles of 95°C for 15 seconds, 60ºC for 30 seconds, and 72ºC for 30 seconds. SYBR Green fluorescence data was collected only during the extension reaction at 72ºC. Ct values were calculated by the 7900HT system software, and average relative quantification (RQ) values were obtained

for each sample using actin, beta $(ACTB)$ amplification as the referent, where $RO =$ (target gene / *ACTB*) / (Universal methylation calibrator / *ACTB*). Spearman rank correlation coefficients (rho) and *P* values were calculated to assess the correlation between GoldenGate array data and QMSP results.

Mutation analysis

*IDH mutation***.** The region spanning R132 codon of *IDH1,* and the region spanning R172 codon of *IDH2* were amplified by PCR with primers designed with Primer 3 sofware (v.0.4.0) with the exception of the forward sequencing primer, which was selected from Balss et al. (4). PCR reaction mixtures contained $10-25$ ng DNA, $1\times$ buffer, 0.2 mM dNTP mix, 0.2 µM forward and reverse primers, 0.04 units of HotStarTaq, and 1 mM MgCl₂ (Qiagen Inc.), in a 25 μ L volume. The PCR conditions were: 95^oC for 10 minutes, 40 cycles of 94ºC for 30 seconds, 60ºC for 30 seconds, and 72ºC for 1 minute. The resulting products were analyzed on a 1.5% agarose gel. DNA was purified using the QIAquick® PCR Purification Kit (Qiagen Inc.) and sent to Rhode Island Genomics and Sequencing Center at the University of Rhode Island, where it was sequenced in both directions using the BigDyeTerminator v3.1 Cycle Sequencing Kit (Applied Biosystems, Foster City, CA). Sequences were analyzed with the help of Applied Biosystems Sequence Scanner Software v1.0. All primers for *IDH1* mutation analysis are listed in Supplementary Table 1, available online.

*TP53 mutation***.** For *TP53* mutation analysis, PCR–single-strand conformation polymorphism technique was used, and DNA sequencing was done as previously

described (8). Primers for PCR amplification of fragments of exons 5 to 8 of *TP53* are listed in Supplementary Table 1, available online. PCR reaction mixtures contained 50 ng DNA, 20 μ mol/L dNTP, 10 mmol/L Tris-HCl (pH 9.0), 1.5 mmol/L MgCl₂, 0.1% Triton X-100, 10 pmol of forward and reverse primers, 1 unit Taq (Perkin-Elmer Cetus, Norwalk, CT), and 0.2 μ Ci [³³P] dCTP (DuPont New England Nuclear, Boston, MA), in a 30 µL volume. DNA with *TP53* mutation confirmed by sequencing was included as positive control. The PCR reaction was carried out using 35 cycles: 94ºC for 30 seconds, annealed for 30 seconds at 58 \degree C for exons 5 and 8, and 60 \degree C for exons 6 and 7 (primers listed in Supplementary Table 1, available online) and 72ºC for 1 minute. Three microliters of PCR product were mixed with 2 μ L of 0.1 N NaOH and then mixed with 5 µL of gel loading buffer solution (United States Biochemical Corp. Cleveland, OH) and heated at 94ºC for 4 minutes. DNA was analyzed on 6% nondenatured polyacrylamide gel, supplemented with 10% glycerol. Electrophoresis was performed at room temperature for 20 hours and exposed to *autoradiography films* for 16 hours for detection of bands. Direct sequencing of PCR fragments for both DNA strands was done on all tumor DNAs that showed aberrant migration patterns on single-strand conformation polymorphism gel to determine the corresponding DNA sequences using dsDNA cycle sequencing system (Life Technologies, Gaithersburg, MD), as described in Wiencke et al. (17).

*EGFR amplification***.** *EGFR* amplification was measured by a quantitative PCR method using the ABI 7900 Real-Time PCR system (Applied Biosystems) and the commonly used DNA-binding dye, SYBR Green I, which has been shown to be equivalent to

TaqMan PCR assay for the assessment of gene copy number (18). Quality control measures for the real-time SYBR green assay included running triplicate determinations for both *EGFR* and control gene, glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*). DNA from A431 and HT29 cell lines, with known copy number states for *EGFR*, served as positive and negative controls, respectively, for amplification.

Statistical Analysis

Data assembly. Methylation data were assembled with BeadStudio methylation software from Illumina. All GoldenGate methylation array data points are represented by fluorescent signals (Cy dyes) from both methylated (Cy5) and unmethylated (Cy3) alleles. The methylation level, designated as beta (β) is calculated as $\beta = (\max[Cy5, 0]/([Cy3] +$ $|Cy5| + 100$, in which the average β value is derived from the approximately 30 replicate methylation measurements, because each CpG probe set is present on the array and measured in each sample approximately 30 times. Raw average β values were analyzed without normalization as recommended by Illumina. At each CpG locus, for each tissue DNA sample, the detection *P* value was used to determine sample performance; all samples had detection P values less than 1×10^{-5} at more than 75% of CpG loci and passed performance criteria. There were 8 CpG loci that had a median detection *P* value of greater than .05, and these 8 CpGs were excluded from the analysis. All CpG loci on the X chromosome were excluded from analysis. The final dataset contained 1413 autosomal CpG loci associated with 773 genes. For each CpG locus, the differential methylation values (delta-beta [Δβ]) were calculated by subtracting the average β value

of tumors from the mean β value of the seven non-tumor brain samples. Subsequent analyses were carried out using the R software (19). All statistical tests were two-sided.

Unsupervised Clustering, Recursively Partitioned Mixture Modeling, and Survival.

Hierarchical clustering of the DNA methylation data was performed using the R function hclust with Euclidean distance metric and Ward linkage. To discern and describe the relationships between CpG methylation data and patient and tumor covariates, a modified model-based form of unsupervised clustering known as recursively partitioned mixture modeling (RPMM), was used as described in Houseman et al. (20) and as used in Christensen et al. (21). The analysis of associations between methylation class (categorical) and individual categorical covariates was performed using the Fisher exact test. To test for association between methylation class and continuous covariates, a permutation test was run with the Kruskal-Wallis test statistic, and a likelihood ratio test was used for comparing the association between methylation class and *IDH* mutation to a model including age and histology. To test for associations between *IDH* mutation and grade-specific tumor histology, and *IDH* mutation and tumor grade, Fisher's exact tests were used. To test for associations between *IDH* mutation and primary vs. secondary glioblastoma, *IDH* mutation and *TP53* mutation, and *IDH* mutation and *EGFR* amplification, Chi-square tests were used. The assumption of proportionality for Cox proportional hazards modeling was verified by calculating Pearson correlation coefficients for the corresponding set of Schoenfeld residuals with a transformation of time based on the Kaplan-Meier estimate of the survival function (22), and graphically by plotting log(survival time) vs. log(-log[survival as a function of time, t]).

*Locus-by-locus analysis***.** To examine differential methylation between tumor and nontumor tissues, gliomas were stratified by grade-specific histologic subtypes, and individual CpG loci were compared between subtypes of glioma and non-tumor samples using a Wilcoxon rank-sum test. Because this results in the simultaneous comparison of all CpG loci between glioma subtypes and non-tumor sample types, false discovery rate estimation and *Q*-values computed by the qvalue package in R (23) were used to adjust for multiple testing. Differentially methylated CpGs were counted as hyper- or hypomethylated if both the tumor vs. non-tumor *Q* less than .05 and the median methylation value $|\Delta\beta|$ greater than 0.2. An equivalent approach was used in the analysis of differential methylation for gliomas with mutant or wild-type *IDH*, compared with non-tumor tissues.

Pathway Analysis. A canonical pathway analysis was conducted with the use of Ingenuity Pathway Analysis software (Ingenuity Systems, Redwood City, CA). CpG gene-loci associated with the Illumina GoldenGate methylation array were used as reference and loci from differential methylation analysis, as described later in the article, were compared. The statistical significance of gene-locus enrichment within canonical pathways was measured with a Fisher's exact test.

Results

Unsupervised Clustering and Modeling of Glioma and Non-Tumor DNA Methylation Data

Histological grade and patient demographic data for the 131 gliomas and patient demographic data for the seven non-tumor brain tissues are presented in Table 1. To characterize DNA methylation of gliomas and non-tumor brain tissues, the bisulfitemodified DNA samples were hybridized to the GoldenGate DNA methylation array. Unsupervised clustering of DNA methylation data from 1413 autosomal CpG loci showed that non-tumor brain tissues cluster with each other and are distinct from tumor tissues (Figure 1, A). Furthermore, we observed that oligodendrogliomas and astrocytomas generally clustered together and demonstrated a greater number of methylated loci relative to ependymomas, pilocytic astrocytomas, as well as non-tumor brain tissues. Concomitantly, glioblastomas (also known as grade IV astrocytoma), predominantly clustered together at the bottom of the heatmap (Figure 1, A) and displayed more hypermethylated CpG loci than ependymomas.

In order to further investigate the DNA methylation patterns of gliomas and nontumor brain tissue, we implemented an agnostic approach by applying a modified modelbased form of unsupervised clustering known as recursively partitioned mixture modeling (RPMM) (20). RPMM allows for precise inference regarding the potential covariates associated with intrinsic similarities and differences in CpG methylation by generating distinct classes of DNA methylation for the modeled samples based upon the DNA methylation array data. We applied RPMM clustering to all 131 tumors, which generated 11 methylation classes (Figure 1, B). Methylation classes contain samples with DNA

methylation patterns that are most similar to each other, and samples with different DNA methylation patterns are distinguished by their membership in a different methylation class. Methylation class was statistically significantly associated with both tumor histologic subtype ($P < 2.2 \times 10^{-16}$) and grade ($P < 2.2 \times 10^{-16}$) (Supplementary Table 2, available online).

Methylation Array and Methylation Class Validation

Methylation data from GoldenGate arrays have been extensively validated by our group and others using a variety of methods (24-28). The methylation array data presented in this study were validated by correlating CpG methylation array data to quantitative methylation-specific PCR (QMSP) data for genes commonly methylated in gliomas— *MGMT, RASSF1, PYCARD, HOXA9, PEG3,* and *SLIT2* (Supplementary Table 3, available online). To determine the validity of association between histology and methylation class we utilized publicly available GoldenGate methylation array data for 71 glioblastoma samples from The Cancer Genome Atlas (TCGA). Using the RPMM classification (Figure 1, B), we predicted the methylation class for each glioblastoma sample of TCGA and confirmed that 70 of 71 (99%) TCGA glioblastoma samples were classified in RPMM methylation classes that contained glioblastoma samples (Supplementary Table 2, available online). The identification numbers and the predicted RPMM methylation classes of TCGA tumors are listed in Supplementary Table 4, available online.

We examined the differential methylation $(\Delta \beta)$ between tumor and non-tumor brain tissues and observed a striking pattern of the number of hyper- and hypomethylated CpG loci among different tumor subtypes (Figure 2, A). Glioblastomas showed a low ratio of hyper- to hypomethylated loci (ratio $= 1.3$), compared with the ratio for grades 2 and 3 astrocytomas, grades 2 and 3 oligoastrocytomas, and grade 2 oligodendrogliomas (ratios $= 3.7, 7.6,$ and 9.7, respectively). Conversely, ependymomas showed increased hypomethylation (ratio $= 0.3$). The ratios of hyper-to hypomethylated CpG loci were statistically significantly different across glioma tumor histologic subtypes (Permutation *P*<. 0001). Histology-related hyper- and hypomethylation patterns were also evident in unsupervised hierarchical clustering of Δβ methylation values for all 1413 autosomal CpG loci (Figure 2, B).

Ratios of Hypermethylated to Hypomethylated CpG Loci and Tumor Histology

We next assessed the cellular pathways associated with statistically significantly differentially hypomethylated and (separately) hypermethylated CpG loci that were common among glioblastomas, astrocytomas, oligoastrocytomas, and oligodendrogliomas. There were 18 CpG loci with statistically significant differential hypomethylation (*Q*<. 05) and common among glioblastomas, astrocytomas, oligoastrocytomas, and oligodendrogliomas. An analysis of cellular pathways enriched among these 18 CpG loci, compared with all genes represented on the methylation array, revealed statistically significant enrichment of metabolism and biosynthesis pathways (Supplementary Table 5, available online). In addition, there were 35 statistically significantly differentially hypermethylated (*Q*<0.05) CpG loci common among glioblastomas, astrocytomas, oligoastrocytomas, and oligodendrogliomas. An analysis of cellular pathways enriched among these 35 CpG loci showed that oxidative stress response and retinoic acid mediated apoptosis signaling pathways were statistically significantly enriched (Supplementary Table 5, available online). For each grade-specific tumor histology, all statistically significant differentially hypomethylated and hypermethylated CpG loci are detailed in Supplementary Tables 6 and 7, respectively, available online.

Glioma Methylation Classes, *IDH* **Mutation, and Survival**

The analysis of differentially methylated CpG loci in cellular pathways suggested that metabolic pathways as a group were commonly hypomethylated in gliomas. We hypothesized that genetic mutations in the metabolic pathways were associated with the observed DNA methylation phenotype. To test this hypothesis, we sequenced a subset of 95 tumors with available DNA for *IDH1* and *IDH2* mutations. *IDH2* mutation was detected in only two tumors, and *IDH1* mutation was detected in 55 tumors (total *IDH* mutation prevalence = 58.9%). *IDH* mutations were more common in oligoastrocytoma, oligodendroglioma, or astrocytoma histologic subtypes than in glioblastomas, pilocytic astrocytomas, or ependymomas ($P = 6.4 \times 10^{-9}$); in lower-grade than higher-grade tumors (*P* = .01); in tumors with *TP53* mutation compared with wild-type *TP53* (*P* = .06); and in younger patients (mean age $= 36.6$ years vs. 47.4 years, $P = .0009$) (Table 2). However, *IDH* mutation was not associated with *EGFR* amplification (*P* = .10) (Table 2). Additionally, tumors with *IDH* mutation showed statistically significantly higher *MGMT* methylation ($P = 3.6 \times 10^{-4}$) (Supplementary Figure 1, available online).

Next we investigated the number of statistically significantly differentially methylated CpG loci between tumor and non-tumor samples stratified by *IDH* mutation status. Tumors with *IDH* mutation revealed a striking contrast between the number of statistically significantly differentially hypermethylated loci, as well as the ratio of hyperto hypomethylated loci in *IDH* mutant tumors vs. *IDH* wild-type tumors (mutant $= 7.8$ vs. wild-type $= 0.22$) (Figure 3, A). We utilized the statistically significantly differentially hypermethylated and hypomethylated CpG loci in *IDH* mutant tumors to conduct an enrichment analysis of cellular pathways. We found that cellular signaling pathways were hypermethylated, whereas metabolism and biosynthesis pathways that included starch and sucrose metabolism and pentose and glucuronate interconversion pathways, were hypomethylated in *IDH* mutant tumors (Supplementary Table 8, available online).

Methylation profiling with RPMM of the 95 gliomas with both methylation data and *IDH* mutation status resulted in nine methylation classes (Figure 3, B). Methylation classes were statistically significantly associated with patient age (Permutation $P = 3.0 \times$ 10^{-4}), histology (*P*<2.2 × 10⁻¹⁶), and grade (*P* = 6.0 × 10⁻⁹) (Supplementary Table 9, available online). *IDH* mutation was also strongly associated with methylation class (*P* = 3.0×10^{-16}) (Figure 3, C), and this association remained statistically significant when controlling for age and histology (likelihood ratio *P*<.0001). Only two methylation classes had *IDH* mutant tumors (class L and class RLLR), and greater than 98% of the tumors (all but one) in these two classes had an *IDH* mutation (Figure 3C). Furthermore, methylation classes L and RLLR were both more highly methylated than the other methylation classes (Figure 3, B).

Last, we examined the potential association between *IDH* mutation and patient survival among cases with available mutation data ($n = 95$) because previous studies reported increased survival among glioma patients with *IDH* mutation (3, 5). In a multivariate Cox proportional hazards model controlling for age at diagnosis, sex, and grade-specific histology, we observed that patients whose tumors harbored *IDH* mutation showed statistically significantly better survival, compared with patients ($n = 39$) whose tumors harbored wild-type *IDH* (HR of death $= 0.27, 95\%$ confidence interval [CI] $= 0.10$ to 0.72) (Figure 3, D, and Table 3).

Discussion

In this study, we demonstrate a distinct pattern of methylation across histological subtypes of glioma that is associated with genetic mutation in *IDH* gene loci. The two methylation classes associated with mutant *IDH* tumors had a homogeneous, hypermethylation-rich character compared to the methylation classes for tumors with wild-type *IDH*. Additionally, the tumors with wild-type *IDH* belonged to several distinct methylation classes. The contrast between a single homogenous hypermethylated profile and several heterogeneous hypomethylated profiles (associated with distinct histologic types) strongly suggests that *IDH* mutation "drives" the observed hypermethylated phenotype, irrespective of tumor histology. In support of this, we note that *IDH1* mutation is more robustly associated with methylation class, compared with the classical glioma tumor genetic markers like *TP53* mutation and *EGFR* amplification.

IDH mutations are heterozygous and allow the enzyme normally responsible for conversion of isocitrate to α-ketoglutarate to convert α-ketoglutarate to 2-

hydroxyglutarate in an NADPH–dependent manner and results in accumulation of 2 hydroxyglutarate (7, 8). Despite the observed hypermethylated profile of *IDH* mutant tumors, analysis of cellular pathways showed hypomethylation of several metabolic pathways, potentially to compensate for mutation-related metabolic stress. Because the methylation profile of *IDH* mutant tumors is generally homogenous, it is possible that the hypermethylation phenotype is either selected for, or driven by, the hypomethylation of compensatory metabolic pathways, thus directly linking and temporally situating these events. The level of α-ketoglutarate has been shown to be slightly lower in *IDH1* mutant gliomas, though this decrease was not statistically significant (8). However, IDH1 localizes to the cytosol and peroxisomes, whereas IDH2 is localizes to mitochondria; and because most *IDH* mutations in gliomas are in *IDH1*, pan-cellular α-ketoglutarate levels may not represent available cytosolic α-ketoglutarate levels. Furthermore, *IDH1* R132 mutation has been shown to favor an active conformation of the enzyme, increase its affinity for NADPH, and favor reduction of α -ketoglutarate to 2-hydroxyglutarate over the conversion of isocitrate to α -ketoglutarate, which may reduce the availability of cytosolic α-ketoglutarate and NADPH (8). Hence, a potential mechanism responsible for the strong association between epigenetic profile and *IDH* mutation is related to potentially altered availability of α-ketoglutarate in these tumors. The Jumonji-domaincontaining histone demethylases require α-ketoglutarate as a substrate for their enzymatic activity (29) and altered activity of these histone demethylases could lead to aberrantly remodeled chromatin, potentially resulting in epigenetic alterations at the DNA-level as well. However, studies that are beyond the scope of this manuscript would be necessary to disentangle the complex networks of chromatin remodeling enzymes, their targets, and

their responses to altered levels of enzymatic substrate. Alternatively, (or perhaps in conjunction) lower concentrations of NADPH associated with mutant *IDH1* (30) may result in a decreased capacity for reductive processes in defense against reactive oxygen species. Furthermore, α -ketoglutarate itself is a potent antioxidant (6) and its decreased availability in *IDH* mutant cells alone, or together with lower NADPH levels could drive the selection of cells with compensatory metabolic gene expression profiles mediated by altered epigenetic patterns including chromatin configuration and DNA methylation. Consistent with the suggestion that gene expression profiles are altered in association with DNA methylation related to *IDH* mutation, an analysis of glioblastoma gene expression subtypes showed that *IDH* mutation occurred almost exclusively proneural glioblastomas (31).

More broadly, and similar to the hypermethylation phenotype we describe here, hypermethylator phenotypes have previously been associated with other cancers. This phenotype was first described in colon cancer, and is commonly referred to as CpG Island Methylator Phenotype (CIMP) (32). Specifically, colorectal cancers can be divided in CIMP-high, CIMP-low, and non-CIMP based on the methylation of 5-8 specific gene promoters (33, 34). Similar to *IDH* in glioma, CIMP status in colon tumors has been associated with specific mutations; CIMP-High with *BRAF* and CIMP-Low and non-CIMP with *KRAS* (35). Recently, Noushmehr *et al.* described a CIMP in glioblastomas, termed G-CIMP, which they found to be tightly associated with *IDH1* mutation (36). In a number of lower-grade gliomas Noushmehr *et al.* performed methylation profiling of eight markers of G-CIMP and confirmed that *IDH1* mutation is associated with G-CIMP in low-grade tumors, which is consistent with our array-based findings. Furthermore,

over 83% of G-CIMP positive glioblastomas with *IDH1* mutation were of the proneural glioblastoma gene expression subtype (36), additional evidence supporting an association between distinct, *IDH*-related methylation in our data (from diverse glioma histologic subtypes), and a specific gene expression phenotype. In addition, *MGMT* methylation is often investigated in glioma since it has been associated with increased sensitivity to alkylating agents such as Temozolomide and can impact response to therapy (37). In fact, increased *MGMT* methylation can also distinguish CIMP-High and CIMP- Low from non-CIMP in colon cancer (38). Our results, consistent with previous work (9), demonstrate an association between increased *MGMT* methylation and *IDH* mutation. Finally, some studies have reported CIMP positive colon cancers to have a relatively better prognosis (39), and from both the work of Noushmehr *et al.* and ours, this appears to be consistent with the pattern of survival observed in CIMP gliomas.

The association between *IDH* mutation and a single methylation profile across several histologic subtypes suggests that genetic and epigenetic alterations are not independent. This observation also has profound implications for the development of new therapies for glioma. Although pharmacological inhibition of 2-hydroxyglutarate has been suggested as a possible approach to treating *IDH* mutant gliomas (40) such drugs do not yet exist. However, DNA methylation is a modifiable therapeutic target; DNA methyltransferase inhibitors and histone deacetylase inhibitors are in clinical trials and showing some promise for the treatment of hematopoietic malignancies (41-43). Our work suggests that a simple diagnostic test for DNA methylation (or mutation) can identify a class of tumors for which the modification of DNA methylation may have therapeutic efficacy. This class of tumors is not discernable by any of the classic

histopathologic or tumor markers for glioma. The recognition that *IDH* mutation has value as a clinical prognostic marker and is associated with a broad DNA methylation phenotype suggests that glioma therapeutic protocols that reverse DNA methylation should be pursued.

Our study has a few limitations. Although we studied 131 histologically diverse tumors, we did not have *IDH* mutation, *TP53* mutation, and *EGFR* amplification data on all subjects and had somewhat limited statistical power to explore the relationships between *IDH* mutation and these alterations. Future investigations that include larger numbers of histologically diverse samples and higher-resolution methylation array techniques, along with measurements of other somatic alterations (*IDH* mutation, mRNA expression, and copy number) will afford a more comprehensive understanding of the molecular and chromosomal characteristics that distinguish glioma subtypes. Understanding whether these glioma molecular and chromosomal subtypes are differentially associated with glioma risk loci (44) also will help to understand the etiology and possibly outcomes of this often-catastrophic disease.

In summary, our work demonstrates a clear relationship between genetic and epigenetic events in human gliomas by associating *IDH* mutations with a homogenous methylation profile, and demonstrates that profiles of methylation differ by histologic subtype of disease. Additionally, and consistent with previous work, we also showed that patients with *IDH* mutation have a significantly improved survival. Advances in therapy for glioma may be realized by targeting DNA methylation. Much attention has recently been given to the utility of *MGMT* methylation in predicting response to therapy, and our
data further suggest that other DNA methylation markers may improve clinical assessment, guide therapies, and potentially uncover novel therapeutic avenues altogether.

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Figure 1. Association between glioma histologic subtypes and DNA methylation pattern. A) The average methylation beta (β) values of both gliomas (n = 131) and non-tumor tissue samples (n =7) were subjected to unsupervised hierarchical clustering based on Euclidean distance metric and Ward linkage and are shown in the heatmap. Each row represents a sample and each column represents a CpG locus (all 1413 autosomal loci). The scale bar at the bottom shows the range of β values (0 to 1). Tissue histology and grade are defined in color keys next to the heatmap, on the left. GBM2 = secondary glioblastoma multiforme; GBM = primary glioblastoma multiforme; $AS3$ = grade 3 astrocytoma; $AS2$ = grade 2 astrocytoma; OA3 = grade 3 oligoastrocytoma; OA2 = grade 2 oligoastrocytoma; OD2 = grade 2 oligodendroglioma; EP = ependymoma; PA = pilocytic astrocytoma. B) Recursively partitioned mixture model (RPMM) of glioma and non-tumor brain tissue samples ($n = 138$). Methylation profile classes are stacked in rows separated by red lines and class height corresponds to the number of samples in each class. Class methylation at each CpG locus (columns) is the mean methylation for all samples in a class. To the left of the RPMM is the clustering dendrogram. In the heatmap and RPMM, blue designates methylated CpG loci (average $\beta = 1$), and yellow designates unmethylated CpG loci (average $\beta = 0$).

Figure 2. Differential methylation and the ratio of hyper- to hypomethylated loci in gliomas.Differential methylation values ($\Delta\beta$) were calculated by subtracting tumor average β value from the mean \Box value of the non-tumor brain samples ($n = 7$) for each CpG locus. A) The number of statistically significantly differentially hyper- and hypomethylated loci (Q <0.05 and $|\Delta\beta|$ >0.2), are plotted by grade-specific glioma histology. GBM = primary glioblastoma multiforme; GBM2 = secondary glioblastoma multiforme; $AS3$ = grade 3 astrocytoma; $AS2 =$ grade 2 astrocytoma; $OA3 =$ grade 3 oligoastrocytoma; $OA2 =$ grade 2 oligoastrocytoma; OD2 = grade 2 oligodendroglioma; EP = ependymoma; PA = pilocytic astrocytoma. B) $\Delta\beta$ values for all tumors (n = 131) were subjected to unsupervised hierarchical clustering based on Euclidean distance metric and Ward linkage. Each row represents a sample and each column represents a CpG locus (all 1413 autosomal loci). The scale bar at the top shows the range of Δβ values (-1 to 1). Tissue histology and grade are defined in color keys next to the heatmap on the left. In the heatmap blue designates differentially hypermethylated CpG loci in tumors ($\Delta\beta$ = 1), and yellow designates differentially hypomethylated CpG loci in tumors $(\Delta \beta = -1)$.

Figure 3. Association between *IDH* mutation and methylation phenotype in gliomas. A) The number of statistically significantly differentially hyper- and hypomethylated loci (Q <0.05 and $|\Delta\beta|$ >0.2), are plotted by tumor *IDH* mutation status. B) Recursively partitioned mixture model (RPMM) of glioma samples with both methylation and mutation data ($n = 95$). Methylation profile classes are stacked in rows separated by red lines, class height corresponds to the number of samples in each class. Class methylation at each CpG locus (columns) is the mean methylation for all samples in a class where blue designates methylated CpG loci (average β = 1), and yellow designates unmethylated CpG loci (average β = 0). To the right of the RPMM is the clustering dendrogram. C) Methylation-class-specific *IDH* mutation status (Fisher's *P* = 3.0E-16). D) Kaplan-Meier survival probability strata for *IDH* mutant (red, n = 56) and *IDH* wild-type (black, $n = 39$) tumors, tick marks are censored observations and banding patterns represent 95% confidence intervals (CIs).

Table 1. Patient demographic and tumor characteristics^{*}

*Non-tumor brain tissues (n=7) were obtained from cancer-free patients who underwent temporal lobe resection for treatment of epilepsy at the UCSF Medical Center. Glioma tissues (n=131) were obtained between 1990 and 2003 from the University of California San Francisco Brain Tumor Research Center Tissue Bank.

* Analysis of patient age and tumor characteristics vs isocitrate dehydrogenase (*IDH*) gene mutation status. *TP53* = tumor protein 53. *EGFR* = epidermal growth factor receptor.

† *IDH* gene mutation was assessed by sequencing tumor DNA.

‡ Association between age and *IDH* mutation was assessed using two-sided Student's t-test.

§ Tumors were previously reviewed by neuropathologists at the University of California San Francisco to assign histologic subtypes and grades according to the World Health Organization classification.

|| Association between grade-specific histology and *IDH* mutation was assessed using two-sided Fisher's exact test.

¶ Association between primary vs. secondary glioblastoma and *IDH* mutation was assessed using two-sided χ^2 test.

Association between tumor grade and *IDH* mutation was assessed using two-sided Fisher's exact test

** Association between *TP53* mutation and *IDH* mutation was assessed using two-sided χ²test. †† Association between *EGFR* amplification and *IDH* mutation was assessed using two-sided χ² test.

Table 3. Survival analysis using multivariable Cox proportional hazards model*

* Cox proportional hazards model of survival included age, sex, *IDH* mutation, and gradespecific histology. HR = hazards ratio, CI = confidence interval, *IDH* = isocitrate dehydrogenase gene.

† Adjusted HR values.

‡ *IDH* gene mutation was assessed by sequencing tumor DNA.

§Tumors were previously reviewed by neuropathologists at the University of California San Francisco to assign histologic subtypes and grades according to the World Health Organization classification.

 $|| n = 1$, HR = 1.4E-07, standard error = 4,910, confidence interval indeterminable.

Supplementary Figure 1. Association between *IDH* **mutation and increased** *MGMT*

methylation. *IDH* mutation status vs. relative *MGMT* methylation from quantitative methylation specific PCR demonstrates statistically significantly increased *MGMT* methylation among tumors with *IDH* mutation (P = 3.6 x 10⁻⁴). Black bars indicate mean relative *MGMT* methylation in *IDH*

Supplementary Table 1. Primer sequences for quantitative methylation specific polymerase chain reaction (QMSP), *IDH* mutation, *TP53* mutation, and *EGFR* amplification experiments*

* *RASSF1=*Ras association domain family member 1*, MGMT=*O-6-methylguanine-DNA methyltransferase, *HOXA9*=homeobox A9, *PYCARD*=PYD and CARD domain containing*, PEG3*=paternally expressed 3*, SLIT2*=slit homolog 2, *ACTB*=actin, beta, *IDH=*isocitrate dehydrogenase, *TP53*=tumor protein 53, *EGFR*=epidermal growth factor receptor, *GAPDH*=glyceraldehyde-3-phosphate dehydrogenase.

Supplementary Table 2. Recursively partitioned mixture model methylation class by glioma histology and predicted methylation class membership for The Cancer Genome Atlas (TCGA) glioblastoma samples*

* AS2=grade 2 Astrocytoma, AS3=grade 3 astrocytoma, EP=ependymoma, GBM=primary glioblastoma multiforme, GBM2=secondary glioblastoma multiforme, OA2=grade 2 oligoastrocytoma, OA3=grade 3 oligoastrocytoma, OD2=grade 2 oligodendroglioma, PA=pilocytic astrocytoma, TCGA=The Cancer Genome Atlas. Tumors were previously reviewed by UCSF neuropathologists to assign histologic subtypes and grades according to the World Health Organization classification.

Supplementary Table 3. Association between GoldenGate array methylation values and quantitative methylation specific polymerase chain reaction (QMSP)*

* *PEG3* = paternally expressed 3, *HOXA9* = homeobox A9, *MGMT =* O-6-methylguanine-DNA methyltransferase, *PYCARD* = PYD and CARD domain containing*, RASSF1 =* Ras association domain family member 1*, SLIT2* = slit homolog 2.

† This column lists the Illumina GoldenGate methylation array annotation for CpGs where the gene name is listed first in all capital letters and italics followed by an E for exon or P for promoter to indicate the location of the CpG relative to the transcription start site, and the number indicates the distance of the CpG from the transcription start site.

‡ Number of samples with both GoldenGate array and QMSP methylation data.

§ Spearman correlation coefficient (rho)

|| Two-sided Spearman's rank correlation test for association between GoldenGate array methylation value and QMSP methylation value.

Supplementary Table 4. Identification numbers (ID) and RPMM methylation class membership for The Cancer Genome Atlas (TCGA) glioblastoma samples used in validation.

Supplementary Table 5. Cellular pathways enriched among statistically significantly differentially methylated CpG loci in common among glioblastomas, astrocytomas, oligoastrocytomas, and oligodendrogliomas*.

* CpG loci with statistically significantly differential methylation (*Q*<0.05 and |Δβ|>0.2) between tumor and non-tumor tissue were examined for cellular pathway enrichment with Ingenuity pathways analysis software. PXR=nuclear receptor subfamily 1, group I, member 2, RXR=retinoid X receptor, gamma; TREM1=triggering receptor expressed on myeloid cells 1; RAN=RAN, member RAS oncogene family; NRF2=nuclear factor (erythroid-derived 2)-like 2; EGF=epidermal growth factor.

† Two-sided Fisher's exact test for enrichment of genes whose CpG loci are represented among the genes in the listed pathways.

Supplementary Table 6. Statistically significantly differentially hypomethylated CpG loci in human gliomas.

* This column lists the Illumina GoldenGate methylation array annotation for CpGs where the gene name is listed first in all capital letters followed by an E for exon or P for promoter to indicate the location of the CpG relative to the transcription start site, and the number indicates the distance of the CpG from the transcription start site, and F indicates forward strand and R indicates reverse strand.

Supplementary Table 7. Statistically significantly differentially hypermethylated CpG loci in human gliomas.

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* This column lists the Illumina GoldenGate methylation array annotation for CpGs where the gene name is listed first in all capital letters followed by an E for exon or P for promoter to indicate the location of the CpG relative to the transcription start site, the number indicates the distance of the CpG from the transcription start site, and F indicates forward strand and R indicates reverse strand.

Supplementary Table 8. Cellular pathways enriched among statistically significantly differentially methylated CpG loci in gliomas with an *IDH* mutation compared to gliomas without *IDH* mutation*.

* CpG loci with statistically significantly differential methylation (*Q*<0.05 and |Δβ|>0.2) between *IDH* wild-type and *IDH* mutant gliomas were examined for cellular pathway enrichment with Ingenuity pathways analysis software. RAN=RAN, member RAS oncogene family; PXR=nuclear receptor subfamily 1, group I, member 2; RXR=retinoid X receptor, gamma.

† Two-sided Fisher's exact test *P* value for enrichment of genes whose CpG loci are represented in among those in the listed pathways.

Supplementary Table 9. Recursively partitioned mixture model (RPMM) methylation class membership and glioma tumor grade and histology*.

* Methylation classes from recursively partitioned mixture model (RPMM) of gliomas with *IDH* mutation data stratified by *IDH* mutation status, tumor grade, and grade-specific tumor histology, all statistical tests are two-sided.

† AS2=grade 2 Astrocytoma, AS3=grade 3 astrocytoma, EP=ependymoma, GBM=primary glioblastoma multiforme, GBM2=secondary glioblastoma multiforme, OA2=grade 2 oligoastrocytoma, OA3=grade 3 oligoastrocytoma, OD2=grade 2 oligodendroglioma. Tumors were previously reviewed by UCSF neuropathologists to assign histologic subtypes and grades according to the World Health Organization classification.

‡ Fisher's exact test *P* value for association between RPMM methylation class and *IDH* mutation status.

§ Fisher's exact test *P* value for association between RPMM methylation class and tumor grade.

|| Fisher's exact test *P* value for association between RPMM methylation class and grade-specific tumor histology.

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Chapter 3

A novel approach to the discovery of survival biomarkers in glioma using a joint analysis of DNA methylation and gene expression

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A novel approach to the discovery of survival biomarkers in glioblastoma using a joint analysis of DNA methylation and gene expression

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Abbreviations: GBM: glioblastoma multiforme; CNV: copy number variation; G-CIMP: Glioma CpG Island Methylator Phenotype; AFT: accelerated failure time; iBag: integrative Bayesian analysis; BH: Benjamini-Hochberg; FDR: false discovery rate; DF: degree-of-freedom

Abstract

Glioblastoma multiforme (GBM) is the most aggressive of all brain tumors with a median survival under 1.5 years. Recently, epigenetic alterations have been found to play key roles in both glioma genesis and clinical outcome, demonstrating the need to integrate genetic and epigenetic data into predictive models. To enhance current models through discovery of novel predictive biomarkers, we employed a genome wide, agnostic strategy to specifically capture both expression-based (methylation-directed changes in gene expression) and alternative associations of DNA methylation with disease survival in glioma. Human GBM-associated DNA methylation, gene expression, *IDH1* mutation status, and survival data were obtained from The Cancer Genome Atlas. DNA methylation loci and expression probes were paired by gene, and their subsequent association with survival was determined by applying an accelerated failure time model to previously published alternative and expression-based association equations. Significant associations were seen in 27 unique methylation/expression pairs with expression-based, alternative, and combinatorial associations observed (10, 13, and 4 pairs, respectively). The majority of the DNA methylation loci that were predictive were located within CpG islands, and all but three of the locus pairs showed negative correlations, suggesting that for most loci, the methylation/expression pairs were inversely related, consistent with methylation-associated gene regulatory action. Our results indicate that changes in DNA methylation are associated with altered survival outcome through both coordinate changes in gene expression and alternative mechanisms. Furthermore, our approach offers an alternative method of biomarker discovery using a

priori gene pairing and precise targeting to identify novel sites for loci-specific therapeutic intervention.

Keywords: glioma, DNA methylation, gene expression, biomarker, mediation analysis

Introduction

Glioblastoma multiforme (GBM) is the most aggressive of all brain tumors, and accounts for approximately 70% of all malignant gliomas.¹ Despite current treatments, patients with GBMs have a median survival of only $12{\text -}15$ months.¹ This disease is thought to result from the outgrowth of clonal populations that harbor a combination of somatic gene alterations that are likely complex.¹ Genetic alterations include dysregulation of many angiogenic and proliferative pathways including amplification of EGFR and overexpression of VEGF.¹ In addition, dysregulation in many members of the $PI(3)K/Akt/RAS$ signaling pathway have also been implicated in the disease.¹ In 2006, Phillips et al used these genetic alterations, as well as copy number variations (CNV), to distinguish subclasses of GBM, which had prognostic implications.² These analyses were further supported by several studies that assessed known, prevalent mutations in GBMs (*EGFR, PTEN, IDH1, TP53,* and *NF1*), copy number alterations, and expression changes in an integrative approach in order to more precisely define of GBM subtypes important for survival prediction. These data and approaches strongly support the hypothesis that GBMs harbor a complex combination of somatic alterations that determine their phenotype. $3, 4$

Recently, Frattini et al (2013), used a novel statistical approach in an attempt to identify drivers of gliomagenesis through integration of somatic mutations and CNV ⁵. They classified three types of GBM: 1) GBM having deletions at sites containing mutations, 2) GBM having amplifications at sites containing mutations, and 3) GBM with recurrent mutations and no alteration in $CNV⁵$. They also identified fusion products involving the EGFR-SEPT14 loci. Their integrative analysis further added to the genetic understanding

of GBM pathogenesis as well as marked specific targets for possible therapeutic intervention.⁵

Epigenetics (particularly DNA methylation) also plays an important role in gliomagenesis and glioma survival. Gene promoter DNA methylation has long been associated with gene silencing and research has now identified a role for methylation in selecting alternate transcripts and gene promoters, giving rise to somatic events that can impact disease survival. $6-10$ 11, 12 Our group and others have reported an association between isocitrate dehydrogenase 1 and 2 (*IDH1/2)* mutations and a hypermethylator phenotype in gliomas that is associated with early age of onset and increased patient survival, specifically in lower grade gliomas and secondary GBM.^{6, 15} Our data, which looked at a TCGA independent population, also demonstrated and association between TP53 and G-CIMP and a lack of association between EGFR and G-CIMP, and an overall increase in methylation genome-wide. ¹⁶

DNA methylation does not act solely through the mediation of gene expression (the mechanism that we designate as an expression-based association). DNA methylation has also been found to associate with chromosomal instability, the induction of splice variants, alterations in enhancer regions, changes in microRNA binding regions and expression control regions, and mutations. These somatic changes (which we designate as an alternative association) could also greatly impact survival, but are much less well studied.⁶⁻¹⁰

These reports have highlighted the crosstalk between various types of carcinogenic somatic alterations and the need for a better understanding of the complex nature of the

pattern of somatic gene inactivation, involving genetic and epigenetic alterations that impact upon both the genesis of and survival from glioma. Although there has been a call for these integrative biomarkers that can sharpen predictive tools, most research has focused on the integration of genetic alterations (e.g. mutations) and their association with survival.^{5, 18, 19} Here, we have made use of The Cancer Genome Atlas (TCGA) data sets to test our bioinformatics-based approach for identifying novel biomarkers of phenotypically important relationships among DNA methylation, gene expression, and survival in GBM.

Results

DNA methylation and gene expression are significantly associated in GBM samples

After removal of all *IDH1* mutant samples and replicates to prevent survival bias, the final phase 1 and phase 2 datasets contained n=73 and n=168 samples, respectively. Patient demographic data for all 241 GBM samples are presented in Table 1. Expression and methylation loci were paired by gene symbol for all 241 samples, resulting in a total of 66,202 unique methylation and expression pairs, which were used for the following analysis. In order to ensure functionality of methylation loci in the following analysis an initial screen was conducted to determine the association of methylation and expression with in the same gene. To identify the methylation loci that regulate gene expression level, a linear model, as specified in Equation 2 (see Materials and Methods), was performed using the combined phase 1 and phase 2 datasets (n=241). Pairs were chosen as significant if they had a q-value $\langle 0.05$. Out of all 66,202 corresponding loci for both expression and methylation, 9821 were found to be significantly associated with each

other (84.3% negatively correlated, 15.7% positively correlated). Samples were then separated back into the original phase 1 ($n=73$) and phase 2 ($n=168$) sets for survival analysis.

DNA methylation and gene expression pairs are significantly associated with patient survival in GBM samples

To determine DNA methylation and gene expression pairs that are not only significantly associated with each other, but also significantly associated with survival, a Cox proportional hazards model was run on phase 1, phase 2, and pooled datasets. We used the Cox model to investigate the effect of gene expression, DNA methylation, and their interaction term on survival, adjusting for age, gender, and study. 'Study' was included in as a model variable as a precautionary measure due to the inherent difference in how the presence of *IDH1* mutation was determined for each of the two datasets. As previously mentioned, tumors with a G-CIMP phenotype or IDH mutation were removed from this analysis due to their association with increased survival in GBM patients. Analysis of the phase 1 data set $(n=73)$ yielded 878 pairs (from the original 9821) that were significantly associated with survival ($p<0.05$). Those 878 pairs were re-run using the phase 2 data set $(n=168)$ using the same model, which reveals 100 pairs with p <0.05 . Finally, we assessed effects of the 100 pairs on overall survival using the pooled dataset $(n=241)$

(Supplementary Material, Table S1). Pairs significantly correlated with survival were chosen based on the q-value (BH) of the pooled model (cutoff: $q<0.10$). A total of 36 unique methylation/expression pairs from 29 genes were significantly associated with survival. Of these 36 unique pairs, CpG locus cg23134520 was found to contain a SNP (rs6032566) and was removed from further analysis. This yielded 35 unique methylation/expression pairs from 28 different genes, which were used for the final mediation analysis (Table 2).

Association of methylated loci with survival can be decomposed into i) those whose action is mediated through expression and ii) those whose association with survival is not mediated in this fashion.

We first estimated the association of DNA methylation with survival mediated through presumptive effect on gene expression (expression-based association) and then assessed the association not directly mediated through gene expression (alternative association). The expression-based and alternative associations of paired loci with survival were estimated for the top 35 unique methylation/expression pairs (chosen from the linear model and Cox proportional hazards model) by using an accelerated failure time (AFT) model (see Supplementary Material, Table S2). This yielded 10 unique methylation/expression pairs where expression-mediated methylation was associated with survival outcome (or significant expression-based associations) (Fig. 1A), 13 methylation/expression pairs where methylation did not work through expression of the same gene to effect survival (significant alternative association) (Fig. 1B), and 4 methylation/expression pairs where methylation exerted its effect on survival outcome directly and through gene expression (both significant alternative and expression-based associations) (Fig. 1C). Of the 27 significant methylation and expression pairs, 22 DNA

methylation loci were located within a CpG Island and, in general, pairs within the same gene had similar effects on survival (Fig. 1 A-C). In addition, all but three of the locus pairs (associated with *CACNB1*, *RFXANK*, and *RAB21*), had negative correlations, suggesting that the majority of the methylation/expression pairs were inversely related (see Supplementary Material, Fig. S2). Additionally, exon locations of methylation loci from significant pairs can be seen in supplementary material, Fig. S3

Discussion

The association of alterations in DNA methylation and gene expression in GBM with disease survival has been a major focus of recent studies, as it is apparent that outcome is not solely driven by somatic mutation. These previous studies generally identified loci whose methylation was inversely correlated with expression and examined that impact of those loci on patient outcome. Uniquely, in our study, we focused upon methylation and attempted to classify the effects of methylation on survival into those mediated by expression and those not mediated by expression, thereby expanding the potential biomarker pool.

In 2013, Wang et al used an integrative Bayesian analysis (iBAG) approach to analyze the association of DNA methylation with changes in gene expression and subsequently evaluated the association of changes in gene expression on GBM survival.²¹ This linear approach was able to identify several genes with significant associations of gene expression modulated by methylation. Consistent with this data, several genes that we identified to be significantly modulated by DNA methylation, including *OSMR*, *STEAP1*,

and *GRB10*, were also reported by Wang et al in their findings.²¹ However, methylation not only exerts its effects on survival through expression of its associated gene, but also can operate through a variety of other mechanisms, including chromosomal fragility/instability, splicing variants, enhancer regions, and dysregulation of microRNA. $6-10$ Etcheverry et al (2010) investigated the impact of DNA methylation on gene expression and outcome in GBM.²² Their analysis focused on the relationship between DNA methylation and gene expression and the association of methylation on survival. They identified 421 CpG sites that were significantly inversely correlated between methylation and expression, 291 of these CpG sites matched what we found to be correlated in our analysis. They also identified 13 genes, that appeared to have consistent differential methylation and expression (between GBM and control brain) but were negatively correlated, suggesting that the regulation of these genes may be epigenetically modulated.²² However, Wang et al did not consider the joint effect of methylation and expression on outcome. In addition, *IDH1* mutant-associated samples were removed from our study to ensure that the final results would not reflect a bias toward the *IDH1* hypermethylator phenotype due to its association with increased survival.⁶

Our final model focuses not only on how methylation acts through expression to associate with survival; but also assesses how methylation can associate with survival directly or as a proxy for alternative mechanisms (Fig. 2). The final 27 significant methylation/expression pairs (contain genes associated with invasion, angiogenesis, and metabolism, and many have been previously linked to brain/glioma (Table 3). Of the 20 genes that contained the significant pairs, to our knowledge none are associated with common amplifications and deletions found in GBM. 23 Ten pairs (from seven genes) had

a significant expression-based association with survival, suggesting that DNA methylation in these genes affects survival outcome via gene expression of the associated gene. Interestingly, two genes contained multiple significant methylation/expression pairs. One of these genes, oncostatin M receptor (*OSMR*), contained two significant pairs, both with the same gene expression probe, but paired with different DNA methylation loci. The DNA methylation loci for these pairs fall in a CpG island within 550 bp of the transcription start site of the *OSMR* gene and the pairs showed a negative correlation, suggesting that methylation of these loci could inhibit gene expression. The locus pairs (cg03138091_A_24_P388860 and cg26475085_A_24_P388860) were associated with a significant expression-based association for each CpG. It is known that OSMR beta associates with Interleukin 31 Receptor alpha (*IL31RA*) to form the Interleukin 31 receptor (IL31) complex which activates signal transducer and activator of transcription 3 (*STAT3*).²⁴ Priester et al (2013) recently demonstrated that silencing of *STAT3* inhibits glioma single cell infiltration and tumor growth, suggesting that *STAT3* plays an important role in the invasiveness of gliomas.²⁵ If *OSMR* is silenced via DNA methylation of its promoter, this could lead to a decrease in *OSMR* gene expression and its association with *IL31RA*, inhibiting the subsequent activation of *STAT3*. Without activated *STAT3,* GBM growth and infiltration could be attenuated, potentially causing an increase in survival. This proposed mechanism supports the expression-based association of *OSMR* methylation on survival in the present study.

In addition to the 10 pairs with significant expression-based associations, there were also 14 methylation/expression pairs (in 12 genes) with significant alternative associations. This suggests that in these genes, DNA methylation is associated with survival either

directly or through mechanisms other than direct changes in gene expression. For instance, aquaporin 1 (*AQP1*) contained one methylation/expression pair, which is located in a CpG island within 300 bp of the transcription start site of the *AQP1* gene, and the pair showed a negative correlation, suggesting that methylation of this locus could inhibit gene expression. The major function of aquaporins (AQPs) is transportation of water across cell membranes, the disruption of which has been shown to disturb the blood-brain barrier and lead to cerebral edema.26-28 *AQP1* and *AQP4* are most abundantly expressed in the nervous system, and though *AQP4* has been more heavily studied, the expression of both has been observed in GBM and found to correlate with malignancy, specifically with cytotoxic cerebral edema, angiogenesis, and migration/invasion.^{26, 29, 30} Recently, it has been shown that both *AQP1* and *AQP4* are direct targets of microRNA 320a (miR-320a) and that increased miR-320a is associated with a reduction in *AQP1*/*4* expression.³¹ Therefore, a possible mechanistic explanation for the alternative association we observe involves methylation of the microRNA target region on *AQP1* inhibiting the binding of miR-320a and ultimately allowing transcription of *AQP1*.

Interestingly, there were four methylation/expression pairs (three genes) that had both significant alternative and expression-based associations. Of interest is the gene growth factor receptor-bound protein 10 (*GRB10*), which contained two significant pairs, both with the same DNA methylation locus but paired with different gene expression probes. The DNA methylation locus for these pairs fall in a CpG island of the *GRB10* gene, and the pairs showed a negative correlation. The loci pairs (cg24302095_A_24_P235266 and cg24302095_A_24_P235268) have significant alternative associations that suggest that with a 5% increase in methylation, a decrease in survival may be observed; but the pairs

also have significant expression-based associations. *GRB10* is an imprinted gene that is differentially expressed from two promoters. In the brain, it is paternally expressed.³² *GRB10* interacts with receptor tyrosine kinases and signaling molecules, most commonly insulin receptors and insulin-like growth factor receptors.^{32, 33} In addition, monoallelic expression appears to be limited to fetal brain, skeletal muscle, and, most recently, placenta.32, 33 Not only is expression of *GRB10* tissue specific, but it is also isoform specific.³² Currently, 13 different splice variants of *GRB10* have been identified, with all but one being expressed in the brain.³³ Overexpression of some isoforms has been shown to suppress growth.³² Yonghao et al (2011) found decreased expression of *GRB10* in many human tumor types, including gliomas, compared to corresponding normal tissue.³⁴ These tumor samples demonstrated a negative correlation between *GRB10* and *PTEN* expression. Furthermore, in a murine cell line, stabilization of Grb10 due to mTORC1 mediated phosphorylation resulted in inhibition of PI3K and ERK-MAPK pathways, suggesting a role for Grb10 as a tumor suppressor. 34 Conversely, Nord et al (2009), using a 32K bacterial artificial chromosomes array, found human *GRB10* to be a putative novel oncogene in glioblastoma.³⁵ Mechanistic differences might be attributed to inherent imprinting differences in *GRB10* between mice and humans. Nonetheless, DNA methylation of this CpG locus has the potential to cause alternative splice sites and may be responsible for the different isoforms of *GRB10*. Therefore, it is plausible that both the alternative and expression-based associations of this gene have a significant outcome on survival. Further potential mechanisms for genes that contained significant pairs can be found in Table 3.

There were several limitations to our work. First, we relied upon publically available data, which did not have complete mutation and survival data. We used a previously validated approach to control for this, but this remains a limitation 97 . To address the issue of missing survival data we used an accelerated failure time model to predict the survival time of censored values. In order to ensure functionality of methylation loci in our analysis, an initial screen was conducted, and only methylation and expression pairs that were significantly correlated within the same gene were used. Due to limited patient data, our study consisted only of primary GBM; however, promoter methylation of many GBM associated genes is more common in secondary GBM (ie. 11% promoter methylation for $MGMT^{36}$), which may explain the lack of detection of previously described genes associated with promoter methylation in glioma. Additionally, there was one pediatric patient out of the 241 samples (age 10) that was not removed from the study prior to analyses.

Our approach focuses on methylations that regulate expression of the same gene, as mentioned above, and would miss methylation loci that do not regulate gene expression and are associated with survival through the alternative mechanism. To establish no association with gene expression, difficulties such as distinguishing null findings due to severe multiple comparisons from those with true biology will be an issue. Our approach circumvents the difficulty and is driven by biology: methylation that regulates gene expression is more likely to be functional and thus affects cancer survival.

Overall, our findings are consistent with the well-accepted concept that DNA methylation can associate with survival outcome via alterations in gene expression (e.g., *OSMR*). Our findings also suggest that methylation can associate with survival outcome through

mechanisms other than dysregulation of gene transcription, including disruption of microRNA function, as possible in the case of *AQP1*. Additionally, some methylation/expression pairs have both significant alternative and expression-based associations, suggesting that different tumors are using discrete mechanisms, yielding different survival outcomes, as described for the proposed alternative and expressionbased associations of GRB10. It should be noted that promoter methylation of *MGMT*, which is frequent in low-grade and secondary $GBM^{11,12}$, was observed to be significantly correlated with *MGMT* gene expression (data not shown), but was not observed in our final list of significant pairs. This may be attributable to the data quality (e.g. treatment data), or the relatively large number of subjects required to detect an interaction between treatment and methylation at this locus.

Importantly, our data suggest that this approach might profitably be applied to cancers other than GBM. Our method also brings to light pathways for future study as potential mechanisms in the pathogenesis of glioma. Though additional validation is needed, our work supports the concept that DNA methylation can function both through gene expression, and more directly or through alternative mechanisms, to modulate survival outcomes among glioblastoma patients.

Materials and Methods:

External Data Sets

Methylation, expression, and mutation data for glioblastoma multiforme (GBM) were downloaded from The Cancer Genome Atlas (TCGA) for two different sample sets.

Level 1 HumanMethylation27 (Illumina) DNA methylation data and level 2 AgilentG4502A_07_1 and 2 gene expression data were downloaded for all available GBM batches. GBM batches 1, 2, 3, and 10 were used as the phase 1 set and GBM batches 16, 20, 26, 38, and 62 were used as the phase 2 data set. Patient samples lacking covariate data were removed; samples were further restricted to patients diagnosed with glioblastoma who were alive 30 days after their date of diagnosis. Data sets were not combined in further analyses due to the fact that phase 2 data did not have definitive *IDH* mutation status. Since *IDH* mutations are associated with survival we were hesitant to combine the two datasets as mis-identification of IDH mutations could grossly affect findings.

Recursively partitioned mixture model to determine IDH1 mutation status

Patient survival, DNA methylation, gene expression, and *IDH1* mutation data (phase 1 set only), was obtained for primary glioblastoma multiforme (GBM) samples. It has been widely acknowledged that *IDH1* mutants are almost exclusively associated with a hypermethylater (G-CIMP) phenotype, and this phenotype is associated with increased survival in glioma.^{[10,](#page-34-0)[11](#page-34-1)} Therefore, we wanted to remove IDH mutant samples from our study so results would not be biased due to increased survival associated with this mutation. Since *IDH* mutation data was not available for the phase 2 sample set, we employed a recursively partitioned mixture model (RPMM) as described by Houseman et al 20 and used in Christensen and Smith et al.⁶ The RPMM successfully divided the phase 1 set into seven classes (see Supplementary Material, Fig. S1), and the samples in the top two most highly methylated classes, along with the samples having IDH mutations in the

phase 1 set, were removed (TCGA.14.1458, TCGA.16.1460, TCGA.19.1788, TCGA.14.1456, TCGA.28.1756, TCGA.14.4157, TCGA.32.4208).

Methylation Data

Methylation beta values were extracted from raw idat files using GenomeStudio software (Illumina), which calculates beta values using $M/(M+U+100)$, where M is the methylated signal, U is the unmethylated signal, and 100 is an arbitrary offset. Replicates that did not correlate were removed (TCGA.06.0137, TCGA.06.0145). For methylation loci, all loci that contained a detection p-value > 0.05 for any sample were removed from further analysis. Since approximately 25% of the survival data is censored, censored survival times were estimated using an accelerated failure time (AFT) model based on the equation below.

Equation 1. $log(T) = b_0 + b_1 Age + b_2Gender + b_3 Study + b_4(Age * Study) +$ $b_5(Study * *Gender*) +$

Where T follows a Weibull distribution 37 (μ is a scale parameter and ϵ follows an extreme value distribution). Next, methylation values were normalized for bead chip to control potential batch effect using the ComBat method ³⁸ with adjustment of age, gender, survival, censored data, and survival-censored interaction.

Expression Data

TCGA expression and methylation subject identification numbers were matched; all non-matching samples were removed from the datasets. Replicates in expression samples were either averaged or chosen based on the closest mean and standard deviation to the

methylation distribution across all samples. The final data sets consist of a phase 1 dataset ($n=73$) and a phase 2 dataset ($n=168$) that contain complete data on overall survival, DNA methylation and gene expression with samples considered G-CIMP removed.

Final methylation/expression locus pairs

Methylation and expression loci were merged based on gene of origin. Annotation files for both platforms (HumanMethylation27 and AgilentG4502A_07_1 and 2) were downloaded from TCGA and matched by gene symbol, (using the manufacturer's annotation) yielding 66,202 methylation/expression pairs. It should be noted that there are usually several methylation loci and/or expression probes found within each gene, so while each pair is unique upon merging, an individual methylation or expression locus may be repeated among several pairs.

Statistical Analysis

To choose statistically significant methylation and expression pairs, expression was regressed on methylation in the pooled (n=241) dataset. The associated p-values were adjusted for false discovery rate (FDR) using the Benjamini-Hochberg (BH) procedure.³⁹ All methylation/expression pairs that had a q-value <0.05 were identified as being significantly associated with each other (n=9821 pairs).

To further siphon out statistically significant pairings, pairs were then assessed using a Cox proportional hazards model for the effect of expression, methylation, and their interaction on survival, controlling for age, gender, and study (when applicable). A three degree-of-freedom (DF) Chi-square test was performed to test for significance of

expression, methylation, and their cross-product interaction. The three-DF models were repeated for both phase 1 ($n=73$) and phase 2 datasets ($n=168$) separately and the pooled dataset $(n=241)$. In order to reduce false positives, final statistically significant pairs were selected for having p-values $\langle 0.05 \text{ in both phase 1 and phase 2 datasets and q-values of}$ <0.1 in the pooled dataset.

The associations of methylation and expression on survival were determined by a mediation analysis adopted from VanderWeele³⁷ using the following equations for the expression-based and alternative associations of methylation on survival:

Equation 2.
$$
\mathbf{E}[E|M, c] = \beta_0 + \beta_1 M + \beta_2 c
$$

Equation 3. $\log(T) = \theta_0 + \theta_1 M + \theta_2 E + \theta_3 E M + \theta_4 c + \nu \varepsilon \log(T) = \theta_0 + \theta_1 M +$ $\theta_2 E + \theta_3 E M + \theta_4 c + \nu \varepsilon$

Equation 4.
$$
\Delta_{M \to E \to T} = (\theta_2 \beta_1 + \theta_3 \beta_1 m)(m - m^*)
$$

Equation 5. $\Delta_{M \to T} = {\theta_1 + \theta_3(\beta_0 + \beta_1 m^* + \beta_2 c + \theta_2 \sigma^2)}(m - m^*)$ $+0.5\theta_3^2\sigma^2(m^2-m^{*2}),$

where T is survival time, E is expression, M is methylation, c is study, σ^2 is the variance of the error term in Equation 2, ε is a random error in Equation 3 following the extreme value distribution, and ν is a scale parameter. For our purposes, m^{*} is median methylation and (m-m*) is the change in methylation we are interested in observing. For example, we would set m-m* to 0.05 if we wanted to look at the change in survival for a 5% increase in methylation. Equation 2 represents the linear model for the association between expression and methylation, and Equation 3 represents the accelerated failure

time model with interaction between methylation and expression. $\beta_0-\beta_2$ are the regression parameters for the linear model, and θ_0 - θ_4 are the regression parameters for the accelerated failure time model. We used a stepwise mediation analysis that considers the relationships between methylation and expression (Equation 2) and their joint effect on survival (Equation 3). In our case, an alternative association is the effect that methylation alone (or as a proxy for alternative mechanisms) has on survival, and expression-based association is the effect of methylation on survival mediated through gene expression. Equation 4 represents the expression-based association, and Equation 5 represents the alternative association of methylation on survival, 37 both of which can be estimated by fitting the models in Equations 2 and 3. We used bootstrap to find the variances and confidence intervals of the expression-based and alternative associations.

To determine directionality of the association of methylation on expression, we looked at the coefficient in the linear model regressing expression on methylation (Equation 2). A negative coefficient suggests that methylation and expression are inversely related (i.e., increased methylation is associated with decreased expression and vise versa). A positive correlation demonstrates that methylation and expression are directly related (i.e., increased methylation is associated with increased expression).

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Figure 1. Significant expression-based and alternative associations of DNA methylation on gene expression and survival. The 35 unique DNA methylation/gene expression pairs were subjected to an Accelerated Failure Time (AFT) survival model and applied to alternative and expression-based equations (2-5 in methods). This yielded a total of 27 significant methylation/expression pairs, 10 had significant expression-based associations (A), 14 had significant alternative associations (B), and 4 had both significant expression-based and alternative associations (C). Grey lines indicate alternative associations, black lines indicate expression-based associations, grey circles indicate that the methylation locus for that gene pair was found in CpG Island, and black circles indicate that the methylation locus for that gene pair was not found in a CpG island. The y-axis indicates the change in survival time per 5% increase in methylation; therefore, effects that fall above the line are associated with an increase in survival and effects that fall below the line are associated with a decrease in survival.

Figure 2. Model for mediation analysis. First a linear model adjusted for study was used to determine significantly correlated methylation/expression pairs. Next, a Cox proportional hazards model was used to find significant association between survival and expression, methylation, and their interaction term (adjusting for age, gender, and study). An accelerated failure time model was used to estimate the association between survival and expression, methylation, and their interaction term (adjusting for age, gender, and study), and a mediation analysis was performed to estimate the alternative and expression-based associations on glioma survival.

Table 1. Patient demographic and tumor^{*} characteristics

***All tumor data obtained from The Cancer Genome Atlas (TCGA)**

****Censored at 60 months (5 years)**

TargetID_Reporter.REF	SYMBOL
cg17942096_A_23_P165180	RFXANK
cg18345635_A_23_P147345	SLC16A3
cg23943801_A_23_P128166	RAB ₂₁
cg27626424 A 23 P34449	LOR
cg05743054_A_23_P419947	MLF1
cg18345635_A_23_P158725	SLC16A3
cg18345635_A_23_P147349	SLC ₁₆ A ₃
cg11558474_A_23_P94552	TMEM ₂
cg01781266 NM 018222 2 3793	PARVA
cg05845503_A_24_P141275	GRHPR
cg05845503_A_23_P60225	GRHPR
cg04551925_A_23_P19894	AQP1
cg00973286_A_23_P139715	TNFRSF1A
cg16773028_A_32_P40593	KCNA ₂
cg03138091_A_24_P388860	OSMR
cg26475085_A_24_P388860	OSMR
cg24812523_A_23_P14346	AKAP6
cg24302095_A_24_P235266	GRB10
cg24302095_A_24_P235268	GRB10
cg22166290_A_24_P402580	BCL11A
cg03764161_A_23_P203330	FAM111A
cg17726022_A_24_P261734	SLC38A1
cg17726022_A_23_P326510	SLC38A1
cg07663789_A_23_P327451	NPR ₃
cg04006554_A_23_P214244	ENPP ₅
cg04006554_A_23_P214240	ENPP ₅
cg04006554_NM_021572_2_2378	ENPP ₅
cg05788437_A_23_P80826	FYTTD1
cg06038049_A_23_P35029	CPSF3L
cg20089715_A_23_P405754	CACNB1
cg24219058 A 23 P310921	PCDH7
cg20091959_A_23_P210445	L3MBTL
cg18138552_A_23_P67464	PSMD8
cg20161089 A 24 P270460	IFI ₂₇
cg18320336_A_24_P406335	STEAP1

Table. 2. Final 35 DNA methylation/gene expression pairs that are significantly associated with survival

histocompatibility (MHC)

Table 3. Functions of significant genes and potential mechanisms in glioma

class II molecules, leading to activation

?

Contains an alternatively spliced product in glioma cells which could contribute to the inactivation rate of the k(+) current Akhtar S et al 1999)

?

?

?

Rab21 expression has been found to attenuate Epidermal growth factor (EFG) mediated mitogen -activated protein kinase (MAPK) by inducing EGF -receptor degradation (Yang X et al 2012). 46

? - Possible mechanisms relating to glioma and significant expression-based or alternative association are unknown.

Supplementary Figure 1. Removal of IDH1 mutants. A Recursively partitioned mixture model (RPMM) was run on the top 5000 most variable CpG loci from the HumanMethylation27 (Illumina) DNA methylation array testing set (n=190) in order to determine hypermethylated classes, which have been previously associated with IDH1 mutation and increased survival in glioma(1A). The average methylation of each class was plotted (1B) and tumors in the top two most hypermethylated classes (RLLRR and RLLRL) were removed from the analysis as possible IDH1 mutant containing samples (1C).

Supplementary Figure 2. Directionality of significant pairs. Gene expression values were plotted against DNA methylation values. A negative correlation demonstrates that methylation and expression are going in opposite directions (i.e. an increase in methylation is associated with a decrease in expression) and a positive correlation demonstrates that methylation and expression are going in the same directions (i.e. an increase in methylation is associated with an increase in expression).

89.622

89.624

89.626

Positon

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89.630

89.632

Supplementary Fig. 3 Map of methylation loci locations from significant

methylation/expression pairs. Each methylation locus obtained from significant methylation and expression pairs was plotted according to its genome location (Illumina annotation file). Exon locations were obtained from Genome Browser, with variants chosen based on the highest number of exons for which methylation loci fell within an exon as opposed to an intron. If the methylation locus was found within a CpG Island, that CpG island range was plotted in green (Illumina annotation file). CPSF3L is not plotted due to the fact that the accession number for this gene (NM_032179.1) was not available on genome browser.

Supplementary Table 1. DNA methylation/ expression pairs that are significantly associated with survival (q-value<0.1)

ACOT8 was removed from further analysis as SNP rs6032566 lies in the CpG locus of interest (cg23144520)q-value based on n=878**

Supplementary Table 2. Expression-based and alternative associations of DNA methylation on gene expression and survival.

***Associations in bold type are significant**

Chapter 4

Discussion

Conclusion

Malignant adult gliomas are the most common type of brain cancer¹. In the past decade, advances in diagnosis and treatment, particularly the use of the alkylating agent temozolomide, have only led to minimal improvement in patient survival $2,3$. Glioma survival outcome has been found to be associated with age, adjuvant treatments, giantcell subtype and oligodendroglia differentiation². In addition, advances in imaging techniques have allowed for better diagnosis^{$4,5$} and more complete resection of malignant tumors, which has also been correlated with improved patient survival^{5,6}. However, advances in the classification of glioma based on its molecular landscape are the most clinically relevant^{$7-10$}. The classification of glioma types/subtypes using both genetic and epigenetic profiles has not only enhanced our knowledge of gliomagenesis but has also highlighted both molecular predictors of survival and possible therapeutic targets of glioma 11 .

Genetic markers of glioma such as somatic alterations in the $p53^{12-15}$, Rb^{15} , EGFR¹⁶, PI3K, and VEGF signaling pathways have now been well established^{2,17}, allowing for treatments targeting specific genes and proteins. More successful targeted therapies include anti-angiogenic drugs, including the commonly used bevacizumab¹⁸. Bevacizumab is a monoclonal antibody against VEGF, which, upon binding, inhibits VEGF activity¹⁹. In 2009 bevacizumab was granted accelerated approval by the FDA as a single agent for the treatment of recurrent $GBM¹⁹$. However, some studies have shown that anti-angiogenic drugs can enhance invasion and metastasis $20,21$. It has been suggested

that anti-angiogenic drugs should be used in combination with drugs that inhibit progression, invasion, and/or metastasis to increase overall survival 22,23 .

EGFR is a tyrosine kinase activated growth factor that is involved in the activation of many signaling pathways, including RAS-MEK-ERK and PI3K-AKT²⁴, and is strongly dysregulated in glioma and consistently amplified or mutated in GBM^{16} . Many targeted therapies, including monoclonal antibodies, vaccines, tyrosine-kinase inhibitors, and RNA-based agents have been under review²⁵. Though research on these drugs seems promising, drug resistance is a common endpoint, re-emphasizing the need for novel drug targets²⁵. Recently, greater attention has been given to epigenetics-based targets, both for prognostic and therapeutic purposes.

The role of epigenetics in cancer biology has only recently started to come into focus. Epigenetics encompasses events such as histone modifications²⁶, DNA methylation^{27,28}, and the targeting of genes by microRNA^{29,30}, all of which are capable of changing an individual's gene expression and/or cellular phenotype without directly changing the DNA sequence^{31,32}. One of the most intensely studied areas of epigenetics is DNA methylation, which entails the addition of methyl groups to CpG dinucleotides 33 . DNA methylation is catalyzed by DNA methyltransferases and causes condensation of chromatin structure, which can lead to dysregulation of gene transcription^{33,34}. DNA methylation of gene promoters is strongly implicated in a variety of cancers, including gliomas, and has been associated variable prognosis³⁵. The best-known example of this association in glioma is the promoter methylation of methyl guanine methyl transferase $(MGMT)$, which is associated with increased survival after treatment^{36,37}. The fact that epigenetics does not involve actual alterations in the DNA sequence makes it more

appealing to study because unlike genetic alterations, epigenetic alterations are potentially reversible. Agents inhibiting re-methylation, such as 5-azacytidine, have already been approved for the treatment of hematopoietic cancers³⁸⁻⁴⁰. However, the use of such drugs on solid tumors has proven less effective⁴¹. Additionally, the lack of specificity of DNA-methylating agents is a prevalent concern, as it can lead to global demethylation and consequent expression of oncogenes and transposable elements, ultimately causing genomic instability⁴¹.

DNA methylation has become a reliable source of biomarkers, as methylation profiles can distinguish cell lineages^{42}, tissues^{43}, and disease subtypes, and contribute to improvements in diagnosis, prognosis, and treatment outcome³⁵. On a single locus level, DNA methylation has aided in the treatment and survival of glioma, as seen with the aforementioned promoter methylation of *MGMT*⁴⁴. Loss of methylation on a global level has become a defining tumor characteristic^{45,46}.

Progress in the understanding of both the genetic and epigenetic landscapes of glioma has led to advances in both the diagnosis and treatment of the disease. Despite these advancements, disease survival remains low. Some researchers theorize that patients would benefit from targeting the molecular landscape as a whole, not just specific somatic alterations⁴⁷. This theory would rely heavily on the molecular classification of tumors and how specific profiles or molecular characteristics associate with both treatment and survival. The molecular classification of glioma began with the integration of genetic alterations. At the forefront of this research was Phillips et al, who defined 3 classes of glioma based on the integration of copy number variation (CNV), gene expression, and activation of cell signaling⁸. The classes, proneural, proliferative,

and mesenchymal, each resemble different stages of neurogenesis and each was differentially associated with outcome, with the proliferative and mesenchymal classes demonstrating the poorest survival⁸. These analyses were further supported by the addition of mutation data, which helped refine associations seen between classes and survival outcome and led to the identification of novel drivers of gliomagenesis $10,48,49$. However, as these studies only exhibit the genetic diversity of glioma and its subtypes, there is a need for further analyses that integrate other important aspects of gliomagenesis, such as epigenetics.

The integration of genetics and epigenetics has greatly enhanced our knowledge of cancer biology, as seen with CpG island methylator phenotypes (CIMP), which can distinguish different tumor subtypes and are significantly associated with outcome. In glioma, the link between promoter methylation and gene expression has been established on a single-locus level. However, no large-scale attempts integrating methylation patterns and genetic alterations in glioma have been made to date. The goal of this thesis was to integrate genetic and epigenetic profiles to obtain molecular drivers of malignancy and survival in glioma.

In chapter 2, we discuss the relationship between the common glioma mutant isocitrate dehydrogenase (IDH) and its association with DNA methylation. First DNA methylation signatures of GBM, astrocytomas (AS), oligodendrogliomas (OD), oligoastrocytomas (OA), ependymomas (EP), and pilocytic astrocytomas (PA) (n=131) and those of non-glioma brain tissues $(n=7)$ were obtained using the Infinium GoldenGate array, which interrogates CpG methylation loci in ~1500 cancer related genes. Tumors and non-glioma tissues were then clustered based on their methylation

status (β -value), with samples having the most similar methylation patterns clustering together. As expected, gliomas clustered separately from non-glioma brain tissue. Furthermore, a pathway analysis of differentially methylated loci (based on Δβ between glioma and non-glioma brain tissue) demonstrated that as a whole, metabolic pathways were commonly hypomethylated. Interestingly, the metabolite IDH1/2 has recently been found to be mutated in approximately 80% of low-grade gliomas and secondary GBM, and in $\langle 10\%$ of primary GBM^{50,51}. This prompted us to investigate the association between *IDH* and DNA methylation in glioma. Recursively partitioned mixture modeling was used to cluster only glioma samples, resulting in nine classes that were significantly associated with age, histology, and grade. Not surprisingly, *IDH* mutants were associated with histological subtypes, with an increased number of mutants found in low-grade gliomas and secondary GBM compared with primary GBM. In addition, *IDH* mutants were exclusively associated with the two homogenous hypermethylated classes, where non-mutants were heterogeneously distributed among the remaining seven classes. This novel finding suggested *IDH* as a potential driver of a hypermethylator phenotype. In fact, associations between methylation class and both *TP53* and *EGFR* were less robust than that of mutant *IDH*, further supporting the role of *IDH* as a driver of the observed hypermethylator phenotype. A Cox proportional hazards model showed that patients whose tumors harbored *IDH* mutants had significantly improved outcome compared with patients whose tumors harbored non-mutant *IDH*, suggesting *IDH* mutation in association with hypermethylation as a potential prognostic biomarker of glioma. The prognostic value of the hypermethylator phenotype, or CIMP, was first observed in colorectal cancer. CIMP classes are determined based on mutations in *BRAF* and/or *KRAS* and promoter

methylation levels⁵², and CIMP subtypes are associated with differential prognosis. Recently, Noushmehr et al confirmed the relationship of mutant *IDH* with promoter methylation of CIMP-associated loci and successfully defined a glioma-CIMP (G-CIMP) ⁷. They found that G-CIMP-positive tumors are frequently found in younger patients with low-grade gliomas, and these patients often show better survival outcomes, which supports our findings⁷. Additionally, gene expression data revealed that G-CIMP tumors are enriched in a portion of the previously identified proneural subtype⁷, which has also been associated with a better prognosis $8,10$.

Mechanistically, the link between IDH mutants and DNA methylation is still under debate. Mutant IDH is a neomorphic enzyme that, instead of catalyzing the oxidative decarboxylation of isocitrate into α -ketoglutarate (α –KG), actually converts α –KG into oncometabolite 2-hydroxyglutare (2-HG) in an NADPH-dependent manner⁵³. Accumulation of 2-HG has been seen in diseases such as 2-hydroxyglutaric aciduria, which has been associated with increased risk of glioma⁵³. In 2011, Xu et al found 2-HG to be a weak antagonist of α –KG, which, at high concentrations, can inhibit α –KGdependent dioxygenases such as histone demethylases and TET2 5-methylcytosine hydroxylases (5mC). Inhibition of histone demethylases can limit the removal of histoneassociated methyl groups causing an increase in normal histone methylation. TET2 normally catalyzes the conversion of 5mC to 5-hydroxymethylcytosine (5hmC), which can lead to demethylation of DNA. Therefore, inhibition of TET2 can lead to an increase in DNA methylation. Consequently, the increased production of 2-HG from mutated IDH can cause dysregulation of the normal methylome⁵⁴. In 2012, Turcan et al lent further support to the connection between IDH and the G -CIMP⁵⁵. The group found enriched

methylation of the histone marks H3K9 and H3K27 in cells expressing mutant *IDH*, which have been shown to promote DNA methylation through recruitment of DMNTs 56 . Furthermore, expression of *TET2* was inhibited in *IDH* mutant samples, decreasing production of 5hmC and further supporting previous findings of a possible mechanistic link between *IDH* mutations and G-CIMP⁵⁵.

The success of the integration of genetic and epigenetic alterations in defining a prognostically relevant G-CIMP class further demonstrates the need for analyses that can aid in the discovery of other drivers and potential biomarkers of gliomagenesis. Of particular interest are those gliomas that fall outside of the IDH-driven methylator phenotype.

In chapter 3, we employed a genome-wide, agnostic strategy for the discovery of novel predictive biomarkers related to the prognosis of glioma. In the previous chapter, we focused on the associations of *IDH* mutant gliomas and methylation. Interestingly, though *IDH* mutants were exclusive to the two hypermethylated classes, wild-type *IDH* was homogenously distributed among the lower methylated classes, suggesting alternative mechanisms of glioma pathogenesis in these patients. Uniquely, in our study, we focused on *IDH* wild-type samples and the role methylation plays alone or in conjunction with gene expression in the pathogenesis and survival of primary GBM. Not surprisingly, the 27 genes found to be significantly associated with survival in our study are involved in invasion, angiogenesis, and metastasis, and many were previously found to be associated with brain/glioma. We found 10 methylation/expression pairs that had a significant expression-based association with survival, suggesting that DNA methylation in these genes affects survival outcome via expression of the associated gene, supporting

the commonly accepted paradigm that methylation effects survival through gene expression. Interestingly, of these 10 methylation/expression pairs, two were found within the *OSMR* gene. As mentioned in chapter 3, OSMR is associated with STAT3 activation via the JAK/STAT signaling pathway. In a glioma cell line, inhibition of STAT3 activation was associated with reduced cell migration and invasion and mice with *STAT3* knockdown tumors exhibited increased survival compared to controls, suggesting that inhibition of *STAT3* is important in gliomagenesis and survival. Therefore, methylation induced silencing of *OSMR* could inhibit the activation of STAT3*,* thereby attenuating glioma cell migration and invasion. Evidence of methylation-induced silencing of *OSMR* has already been shown in colorectal (CR) cancer. Methylationinduced silencing of *OSMR* expression was associated with increased growth due to inhibition of the *OSMR* substrate $OSM^{57,58}$. Furthermore, CR tumors with increased *OSMR* promoter methylation were associated with a non-invasive phenotype⁵⁸, suggesting that *OSMR* could predict a class of tumors that are associated with improved survival. This data supports a possible link between promoter methylation, gene expression, and survival outcome, and suggests methylation of *OSMR* as a potential biomarker of a novel prognostic phenotype.

In our work, 14 methylation/expression pairs were found to have significant alternative associations, suggesting that methylation can function through alternative mechanisms other than expression, to effect survival. Increased expression of the water channel *AQP1*, which had a significant alternative association in our analysis, has recently been observed in GBM. Interestingly, *AQP1* has been shown to contain targets for regulatory microRNAs. Osmotically regulated microRNAs miR-708 and miR-666 were found to

inhibit *AQP1* expression in BDL endothelial cells, and low AQP1 levels were associated with reduced angiogenesis and fibrosis in a mouse model of liver cirrhosis 59 . Additionally, hypoxically activated miR-214 was correlated with decreased AQP1 expression in HUVEC cells⁶⁰, and miR-320a has been found to directly target *AQP1* and is associated with decreased mRNA and protein expression of AQP1 during cerebral ischemia. Importantly, AQP1 is associated with cytotoxic cerebral edema, angiogenesis, and invasion in GBM, suggesting that suppression of AQP1 expression could increase survival outcome⁶¹. Therefore, a possible mechanistic explanation for the alternative association we observed in our work involves methylation of the microRNA target region on *AQP1*, which would inhibit the binding of miR-320a and ultimately result in increased expression of *AQP1*. This model could explain the alternative mechanism associated with glioma survival in this instance $61,62$. Unexpectedly, there were four methylationexpression pairs that had both significant alternative and expression-based associations, suggesting that methylation can function simultaneously through both expression-based and alternative mechanisms to significantly impact survival. This phenomenon was observed with locus pairs found within the imprinted *GRB10* gene. *GRB10* has recently been implicated as both a putative oncogene in glioma 63 and potential tumor suppressor 64 . The *GRB10* gene is of particular interest because it has been found to contain 13 different splice variants, expression of all but one of which (γ 2) has been found in the brain⁶⁵. GRB10 has been shown to have both an inhibitory and stimulatory effect on IGF-1 related proliferation, though not specifically in brain tissue⁶⁶. Though the reason behind its conflicting effects is not yet understood, one theory is that different GRB10 isoforms have different regulatory functions but compete for similar substrates. DNA methylation

has the ability to regulate differential isoform production via alternative splicing⁶⁷. Uniquely, imprinting of *GRB10* is tissue-dependent. Monoallelic expression is seen in skeletal muscle and placenta (maternally expressed in humans) and in the brain (paternally expressed in humans)^{65}. Disruption of maternal imprinting in mice leads to overgrowth and insulin sensitivity throughout life, while in adult mice, deletion of *GRB10* is associated with increased total body mass and up-regulation of cancer associated genes⁶⁸. Unfortunately, ablation of imprinting in the paternal allele has not been shown to affect growth⁶⁸. However, it is important to note that mice have been found to only have maternally imprinted *GRB10* as opposed to humans, who show biallelic imprinting. Overall, this suggests that associations with survival can occur due to both loss of imprinting (expression-based association) and through expression of differentially functioning alternative isoforms (alternative association). It is plausible that these effects could be seen simultaneously in genes within the same tumor and work synergistically, or these effects could occur separately within different tumors, allowing the gene and its associated effect (alternative or expression-based) to be used as possible markers of tumor type. Overall, these findings corroborate the common idea that methylation operates through expression to affect survival outcome, but they also suggest that methylation can associate with survival outcome through mechanisms other than dysregulation of gene transcription. Though additional validation studies are needed, our method may lead to the identification of novel putative genetic and epigenetic biomarkers of glioma that could potentially be useful as therapeutic targets Importantly, this approach could be applicable to cancers other than glioma, and the model can be adjusted to include other variables of interest. For instance, instead of focusing on DNA

methylation and gene expression, one could focus on DNA methylation and microRNA. Thus, our analysis provides a conceivable method of biomarker discovery that may be broadly clinically applicable.

Unfortunately, there were several limitations to this study. First, we had to rely on publically available data, which did not have complete mutation and survival data. For the missing mutation data, we used an RPMM to remove the hypermethylated classes that our group⁹ and others⁷ have previously found to exclusively contain *IDH* mutants. To address issue of missing survival data we used an accelerated failure time model to predict the survival time of censored values in order to control for survival in a combat model. In order to ensure functionality of methylation loci in our analysis, an initial screen was conducted, and only methylation and expression pairs that were significantly correlated within the same gene were used. Unfortunately, this approach entailed the exclusion of loci that may affect the expression of genes from distant locations, as seen with the methylation of enhancer regions. Additionally, the Infinium HumanMethylation27 array that was used to determine methylation status in these samples is strongly biased towards proximal promoter regions⁶⁹. Therefore, in future studies it may be beneficial to look at the correlation of methylation loci and all gene expression probes, without focusing on pairs within a single gene. In 2013, Aran et al explored how DNA methylation of distal regulatory sites in normal and malignant cell lines associates with gene expression levels across the genome⁷⁰. First, they developed a model, which, at a score of less than or equal to 0.85, successfully determined genes that undergo promoter methylation-dependent expression in variable methylation sites (VMS) of malignant cell lines with 2.63% sensitivity and a 12.8% false discovery rate. This

model was then applied to VMS $+/-1$ megabase of the transcription start site of over 17,000 human genes, excluding sites that fell within 5 KB of promoters/alternative promoters of the associated genes. This yielded 1,911 pairs (486 genes), 1,041 of which were distal regulatory sites largely within promoter and enhancer regions. Further analyses suggested that high-scoring pairs were enriched in transcriptional enhancers and bound transcription factors in a methylation-dependent manner. Furthermore, analysis of the 1,911 distal methylation sites in normal vs. malignant mammary epithelial cells revealed a methylation-dependent association between high-score enhancer regions and the expression of their associated genes and suggested that methylation levels of these enhancers associate better with transcriptional regulation than promoter methylation. Moreover, both hypomethylation and hypermethylation of enhancers was observed in different malignant cell types, suggesting a role for differential enhancer methylation in cancer⁷⁰. In addition differential enhancer methylation may be useful in differentiating different glioma subtypes. Due to limited patient data, our study consisted only of primary GBM; however, using our method to look at several different histologies could support pre-existing or aid in the discovery of new subclasses of glioma. This is further supported by our observation of methylation and expression pairs that were significant for both expression-based and alternative associations, demonstrating that the pathogenesis of these tumors involves discrete mechanisms that have differential effects on survival outcome. Of further interest is the prognostic signature of gliomas both before and after treatment. Shukla et al used both treated (radio therapy and concomitant temozolomide) patient samples and a series of cell culture experiments (using 5-Aza-2' deoxycytidine treatment) to identify a methylation-based prognostic signature in highgrade glioma comprising nine genes⁷¹. Using a methylation-based risk-score, the methylation statuses of these nine genes could identify patients as either low-risk or highrisk, with the latter having significantly lower survival. Unsurprisingly, the low-risk group contained a high percentage of IDH1 mutants, proneural associated genes, and G-CIMP tumors. Additionally, the high-risk group was associated with activated NF-kB signaling. Further studies demonstrated that inhibition of NF-kB lead to enhanced sensitivity to chemotherapeutic agents, Not only does this explain the decreased survival observed in high-risk groups, but it also suggests NF-kB as a probable therapeutic target in cases where normal therapy is not successful⁷¹.

Our research has aided in the discovery of putative glioma biomarkers, as observed in chapter 2 with the association of IDH and a hypermethylator phenotype with increased survival. Though more validation is required, we have shown the importance of analyses integrating multiple somatic alterations and their associations with outcome, as shown in chapter 3 with the integration of DNA methylation and gene expression. These analyses supported the common idea that DNA methylation works through gene expression to affect survival. Our analysis also demonstrated a unique method of biomarker discovery that can easily lend itself to diseases other than glioma. Most importantly, our analysis demonstrated significant alternative associations, suggesting that DNA methylation can also operate through alternative or combined mechanisms to affect outcome. An alternative association, as defined in chapter 3, is when DNA methylation affects survival without directly influencing gene expression. In this case, DNA methylation does not directly alter gene transcription via promoter methylation, but may change gene expression and survival via dysregulation of microRNAs and enhancer
regions. In addition, DNA methylation could also affect survival by promoting/hindering genomic fragility and instability. Survival in patients with tumors having a hypermethylator phenotype, as seen with the *IDH-*associated hypermethylator phenotype discussed in chapter 2, has often been associated with promoter methylation-induced silencing of tumor suppresser genes. However, with increased knowledge of the role epigenetics plays in cancer and survival, promoter methylation may not be the only relevant epigenetic mechanism at play in the methylator phenotype. As previously discussed, alternative mechanisms such as methylation inhibition of microRNAs and their target regions and methylation of distal sites associated with enhancer or polycomb regions could impact gene expression and survival. Additionally, tumors with a hypermethylator phenotype are generally associated with increased survival. This could also be explained by the enhanced genomic stability observed in these tumors. In cancer, genomic instability is associated with hypomethylation, which can cause increased expression of aberrant transposons and a potential subsequent decrease in survival. Theoretically, the increased hypermethylation seen in CIMP-positive tumors could manifest in methylation of transposons/repeat elements, thereby increasing stability relative to CIMP-negative tumors. This is another possible explanation for the increased survival associated with CIMP-positive tumors vs CIMP-negative tumors. Collectively, this research has enhanced our knowledge of gliomagenesis and has further demonstrated the molecular complexity of glioma.

Future Directions

Though our method was successful in demonstrating the importance of integration of molecular phenotypes in the classification of molecular drivers of malignancy in glioma, it will be important to properly validate these analyses with a separate set of GBMs. Additionally, our methods could benefit from the use of new high-throughput DNA methylation arrays, such as the HumanMethylation450 BeadChip array (Illumina), which allows for increased coverage of the genome compared to the HumanMethylation27 array, including the interrogation of CpG shores, whose differential methylation patterns have become increasingly recognized as important biomarkers of disease. This increased coverage would allow us to look at the correlation between DNA methylation and gene expression beyond the transcription start site. Following the example of Aran et al, who looked at DNA methylation of distal regulatory sites⁷⁰, we could tailor our integrated analysis to focus on the association of CpG shore methylation with both gene expression and survival. It has been shown that altered methylation at distal regulatory sites found within enhancer regions correlates with altered gene expression more strongly than altered methylation at promoter regions⁷⁰. With this knowledge, it would be expected that our analysis would demonstrate increased expression-based associations with survival.

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